

Weakly Laskerian rings versus Noetherian rings

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ABSTRACT – Let R be a commutative ring with identity. We investigate some ring-theoretic properties of weakly Laskerian R -modules. Our results indicate that weakly Laskerian rings behave as Noetherian ones in many respects. However, we provide some examples to illustrate the strange behavior of these rings in some other respects.

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1. Introduction

Throughout this paper, all rings are assumed to be commutative with identity. Also, all modules are assumed to be left unitary.

Let R be a ring. An R -module M is said to be Laskerian if the zero submodule of every quotient of M has a primary decomposition. Clearly, any Noetherian R -module is Laskerian. As a generalization of this notion, the notion of weakly Laskerian modules was introduced by the present second author and Mafi in [9]. An R -module M is said to be weakly Laskerian if every quotient module of M has finitely many associated prime ideals. The class of weakly Laskerian R -modules obviously includes all Laskerian modules. In Example 3.7, we provide an example of a non-Laskerian ring which is weakly Laskerian. The class of weakly Laskerian R -modules is large enough to contain all Noetherian and Artinian R -modules. One may easily check that it is a Serre class. This means that in any short exact sequence of R -modules and R -homomorphisms, the middle module is weakly Laskerian if and only if the two other modules are weakly Laskerian. In the case R is Noetherian, the present first author proved that an R -module M is weakly Laskerian if and only if it is FSF; see [5, Theorem 3.3]. Recall that by Quy's definition [20, Definition 2.1], an R -module M is said to be FSF if it possesses a finitely generated submodule N such that $\text{Supp}_R M/N$ is a finite set.

Let us for a while assume that R is Noetherian. The study of finiteness properties of local cohomology modules of finitely generated R -modules has been an active area of research in recent years. Although, the class of weakly Laskerian R -modules is much larger than that of finitely generated R -modules, the analogues of many nice finiteness properties of local cohomology modules of finitely generated R -modules have been established for weakly Laskerian R -modules. So, this class deserves a deeper investigation. In fact, in several papers the class of weakly Laskerian R -modules have been examined in conjunction with local cohomology modules; see e.g. [9], [10], [4] and [6].

To the best of our knowledge, there is no investigation on weakly Laskerian modules over non-Noetherian commutative rings. In this paper, we investigate some ring-theoretic properties of weakly Laskerian modules over commutative (not necessarily Noetherian) rings. As a by-product, we deduce several consequences on different types of associated prime ideals. Below, we summarize some of our main results.

Let R be a weakly Laskerian ring and I an ideal of R . We show that:

- i) $\text{Min } I$ is a finite set; see Theorem 2.3.
- ii) If either $\dim R$ is finite or the ring $R[X]$ is weakly Laskerian for some indeterminate X over R , then $\text{Spec } R$ is Noetherian; see Corollary 2.5 and Theorem 2.6. In particular, in both cases each minimal prime ideal \mathfrak{p} of I is an associated prime of I in the Zariski-Samuel sense; see Corollary 2.9.
- iii) For any weakly Laskerian R -module M , the trivial ring extension $R \times M$ is weakly Laskerian; see Theorem 3.4.

- iv) The polynomial ring $R[X]$ and the power series ring $R[[X]]$ are not necessarily weakly Laskerian; see Theorem 4.5. Thus the analogue of the Hilbert Basis Theorem does not hold for the weakly Laskerianity.
- v) If A is a ring extension of R which is finitely generated as an R -module, then A is also a weakly Laskerian ring; see Theorem 5.2.

2. Minimal prime ideals

For a proper ideal I of R , let $\text{Min } I$ denote the set of all minimal prime ideals of I . We know by definition that if R is a weakly Laskerian ring and I is an ideal of R , then the set $\text{Ass}_R R/I$ is finite. But this does not immediately imply the finiteness of $\text{Min } I$. This is because, it is not true in general that $\text{Min } I \subseteq \text{Ass}_R R/I$. Let us explain this more.

We start this section by borrowing an example from [2].

EXAMPLE 2.1. Let $R := \{(a_i)_{i \in \mathbb{N}} \in \prod_{i \in \mathbb{N}} \mathbb{Z}/2\mathbb{Z} \mid a_i = 0 \text{ for all large } i \text{ or } a_i = 1 \text{ for all large } i\}$. Then with pointwise addition and multiplication R is a commutative ring with identity. As $x^2 = x$ for every $x \in R$, it readily follows that $\text{Spec } R = \text{Max } R$, and so $\text{Min } (0) = \text{Spec } R$. Let

$$\mathfrak{m}_i := \{(a_n)_{n \in \mathbb{N}} \in R \mid a_i = 0\}$$

and

$$\mathfrak{m}_\infty := \{(a_n)_{n \in \mathbb{N}} \in R \mid a_n = 0 \text{ for all large } n\}.$$

It can be easily checked that \mathfrak{m}_∞ and $\mathfrak{m}_i; i \in \mathbb{N}$ are prime and these are the only prime ideals of R . Thus

$$\text{Min } (0) = \{\mathfrak{m}_\infty\} \cup \left(\bigcup_{i \geq 1} \{\mathfrak{m}_i\} \right).$$

For any positive integer i , set $\xi_i := (\delta_{n,i} + 2\mathbb{Z})_{n \in \mathbb{N}} \in R$, where δ denotes the Kronecker delta. Then, it is easy to see that $\mathfrak{m}_i = 0 :_R \xi_i$, and hence $\mathfrak{m}_i \in \text{Ass}_R R$ for all positive integers i and that $\mathfrak{m}_\infty \notin \text{Ass}_R R$. Thus $\text{Min } (0) \not\subseteq \text{Ass}_R R$. Note that for any positive integer i we have $\mathfrak{m}_i = (1_R - \xi_i)R$, and so \mathfrak{m}_i is finitely generated. Nevertheless, it is easy to verify that \mathfrak{m}_∞ is not finitely generated.

In view of the above example, it is natural to ask: Does any finitely generated minimal prime ideal of R belong to $\text{Ass}_R R$? The next result gives an affirmative answer to this question.

PROPOSITION 2.2. *Let I be an ideal of R . If $\mathfrak{p} \in \text{Min } I$ and \mathfrak{p}/I is a finitely generated ideal of the ring R/I , then $\mathfrak{p} \in \text{Ass}_R R/I$.*

PROOF. Let $\mathfrak{p} \in \text{Min } I$ be such that \mathfrak{p}/I is a finitely generated ideal of the ring R/I . Replacing R with R/I , without loss of generality, we may assume that $I = 0$, and so it is enough to show that $\mathfrak{p} \in \text{Ass}_R R$.

Since $\mathfrak{p}R_{\mathfrak{p}}$ is a finitely generated nilpotent ideal of the ring $R_{\mathfrak{p}}$, there exist a positive integer k and an element $s \in R \setminus \mathfrak{p}$ such that $\mathfrak{p}^k s = 0$. Let ℓ be the least positive integer such that $\mathfrak{p}^\ell s = 0$ for some $s \in R \setminus \mathfrak{p}$. So, $\mathfrak{p}^{\ell-1} t \neq 0$ for all $t \in R \setminus \mathfrak{p}$. We claim that $(0 :_R \mathfrak{p}^{\ell-1} s) = \mathfrak{p}$. Assume the contrary. Then, as $\mathfrak{p} \subseteq (0 :_R \mathfrak{p}^{\ell-1} s)$, there exists an element $s_1 \in (0 :_R \mathfrak{p}^{\ell-1} s) \setminus \mathfrak{p}$. Now, we have $\mathfrak{p}^{\ell-1} s s_1 = 0$ which is a contradiction. So, we have $(0 :_R \mathfrak{p}^{\ell-1} s) = \mathfrak{p}$. Since by the hypothesis \mathfrak{p} is finitely generated, it follows that the ideal $\mathfrak{p}^{\ell-1} s$ is also finitely generated, and so $\mathfrak{p} = (0 :_R a)$ for some $a \in \mathfrak{p}^{\ell-1} s$. In particular, $\mathfrak{p} \in \text{Ass}_R R$. \square

Concerning Example 2.1, we also have the following positive result.

THEOREM 2.3. *Let R be a weakly Laskerian ring and I a proper ideal of R . Then the set $\text{Min } I$ is finite.*

PROOF. As in the proof of Proposition 2.2, we may and do assume that $I = 0$. So, we should show that the set $\text{Min } (0)$ is finite.

In contrary, assume that $\text{Min } (0)$ is infinite. Then by [7, Theorem 2.4], there exists an element $\mathfrak{p} \in \text{Min } (0)$ such that \mathfrak{p} is not finitely generated and for any finitely generated ideal J of R with $J \subseteq \mathfrak{p}$, the set $V(J) \cap \text{Min } (0)$ is infinite.

We inductively choose prime ideals $\mathfrak{p}_1, \mathfrak{p}_2, \dots$ in $\text{Min } (0) \setminus \{\mathfrak{p}\}$ and elements x_1, x_2, \dots in \mathfrak{p} such that $x_n \in (\mathfrak{p} \cap (\bigcap_{i=1}^{n-1} \mathfrak{p}_i)) \setminus \mathfrak{p}_n$ and

$$\mathfrak{p}_n \in V(Rx_1 + Rx_2 + \dots + Rx_{n-1})$$

for all $n \in \mathbb{N}$. Let \mathfrak{p}_1 be any element in $\text{Min } (0) \setminus \{\mathfrak{p}\}$ and x_1 any element in $\mathfrak{p} \setminus \mathfrak{p}_1$. Next, assume that $n > 1$ and prime ideals $\mathfrak{p}_1, \mathfrak{p}_2, \dots, \mathfrak{p}_{n-1} \in \text{Min } (0) \setminus \{\mathfrak{p}\}$ and elements $x_1, x_2, \dots, x_{n-1} \in \mathfrak{p}$ with the above requested properties have been chosen. Let \mathfrak{p}_n be any element of

$$(V(Rx_1 + Rx_2 + \dots + Rx_{n-1}) \cap \text{Min } (0)) \setminus \{\mathfrak{p}, \mathfrak{p}_1, \mathfrak{p}_2, \dots, \mathfrak{p}_{n-1}\}.$$

Then $(\mathfrak{p} \cap (\bigcap_{i=1}^{n-1} \mathfrak{p}_i)) \not\subseteq \mathfrak{p}_n$, and so we can choose an element

$$x_n \in (\mathfrak{p} \cap (\bigcap_{i=1}^{n-1} \mathfrak{p}_i)) \setminus \mathfrak{p}_n.$$

So, the induction is complete.

For each $n \in \mathbb{N}$, set $I_n := \mathfrak{p}_1 x_1 + \mathfrak{p}_2 x_2 + \dots + \mathfrak{p}_n x_n$. Let $n \in \mathbb{N}$ and $0 \leq i \leq n$. We show that $(I_n : x_i) = \mathfrak{p}_i$. Clearly, $\mathfrak{p}_i \subseteq (I_n : x_i)$. As $\mathfrak{p}_i \in V(Rx_1 + Rx_2 + \dots + Rx_{i-1})$ and $x_j \in \mathfrak{p}_i$ for all $j > i$, we deduce that $I_n \subseteq \mathfrak{p}_i$. Thus

$$(I_n : x_i) x_i \subseteq I_n \subseteq \mathfrak{p}_i.$$

But $x_i \notin \mathfrak{p}_i$, and so $(I_n : x_i) \subseteq \mathfrak{p}_i$. Set $K := \bigcup_{n=1}^{\infty} I_n$. Then

$$(K : x_i) = \bigcup_{n=1}^{\infty} (I_n : x_i) = \mathfrak{p}_i$$

for all i . Hence $\mathfrak{p}_1, \mathfrak{p}_2, \dots$ are infinitely many associated prime ideals of R/K which is a contradiction. \square

Recall that a topological space X is said to be Noetherian if any ascending chain of open sets eventually stabilizes. Refer to [8, Ch.2, §4] for more details on Noetherian topological spaces. Our next result provides a criterion for the Noetherianness of $\text{Spec } R$ equipped with its Zariski topology. We extract the following result from [19] and apply it several times in the sequel.

LEMMA 2.4. *The following statements are equivalent:*

- i) *$\text{Spec } R$ is Noetherian.*
- ii) *$\text{Spec } R[X]$ is Noetherian, where X is an indeterminate over R .*
- iii) *R satisfies the ascending chain condition on prime ideals and each ideal has a finite number of minimal prime ideals.*
- iv) *Each prime ideal of R is equal to the radical of a finitely generated ideal of R .*

PROOF. i) \Leftrightarrow ii) follows by [19, Theorem 2.5] and [19, Proposition 2.8 (iv)].

i) \Rightarrow iii) Since $\text{Spec } R$ is Noetherian, clearly R satisfies the ascending chain condition on prime ideals. On the other hand, as any closed subset of a Noetherian space has finitely many irreducible components, each ideal of R has a finite number of minimal prime ideals.

iii) \Rightarrow i) See [16, Page 65, Exercise 25].

i) \Leftrightarrow iv) See [19, Corollary 2.4]. \square

Our next two results show that, under some mild assumptions, the weakly Laskerianity of R implies the Noetherianity of $\text{Spec } R$.

COROLLARY 2.5. *Let R be a finite-dimensional weakly Laskerian ring. Then $\text{Spec } R$ is Noetherian. In particular, if X_1, \dots, X_n are n indeterminates over R , then $\text{Spec } R[X_1, \dots, X_n]$ is Noetherian.*

PROOF. Since by the hypothesis R has finite dimension, it satisfies the ascending chain condition on prime ideals. Moreover, in view of Theorem 2.3, each ideal of R has a finite number of minimal prime ideals. So by Lemma 2.4, $\text{Spec } R$ is Noetherian. The second assertion also follows by Lemma 2.4. \square

THEOREM 2.6. *Let X be an indeterminate over R . Assume that the ring $R[X]$ is weakly Laskerian. Then $\text{Spec } R$ is Noetherian.*

PROOF. Suppose the contrary and look for a contradiction. Since the two rings R and $R[X]/XR[X]$ are isomorphic, it follows that the ring R is also weakly Laskerian. Then, in view of Theorem 2.3 and Lemma 2.4, we deduce that there exists a strictly increasing chain

$$\mathfrak{p}_1 \subset \mathfrak{p}_2 \subset \cdots \subset \mathfrak{p}_n \subset \mathfrak{p}_{n+1} \subset \cdots$$

in $\text{Spec } R$. Set $A := R[X]$ and let J denote the ideal of A generated by the set

$$\{aX^n \mid n \in \mathbb{N} \text{ and } a \in \mathfrak{p}_n\}.$$

Also for each natural integer n , set $Q_n := \mathfrak{p}_n A + XA$. Then one may check that

$$Q_1 \subset Q_2 \subset \cdots \subset Q_n \subset Q_{n+1} \subset \cdots$$

is a strictly increasing chain in $\text{Spec } A$.

We claim that $\{Q_n\}_{n \in \mathbb{N}} \subseteq \text{Ass}_A A/J$. This will provide the desired contradiction. Let $n \in \mathbb{N}$, $b \in \mathfrak{p}_{n+1} \setminus \mathfrak{p}_n$ and set $c := bX^n$. We claim that $Q_n = (J :_A c)$. One has

$$Q_n c = b(\mathfrak{p}_n X^n)A + (bX^{n+1})A \subseteq J,$$

and so $Q_n \subseteq (J :_A c)$. Next, let

$$h = a_0 + a_1 X + \cdots + a_t X^t \in (J :_A c).$$

Then $hbX^n \in J$, and so there are natural integers $i_1 < i_2 < \cdots < i_\ell$ such that

$$\begin{aligned} ba_0 X^n + ba_1 X^{n+1} + \cdots + ba_t X^{n+t} &= hbX^n \\ &= \sum_{j=1}^{\ell} \sum_{k=1}^{n_j} f_{kj} (b_{kj} X^{i_j}), \end{aligned}$$

where $b_{kj} \in \mathfrak{p}_{i_j}$ and $f_{kj} \in A$ for all j, k . Comparing the coefficients of X^n in the first and the third terms of the above display gives

$$ba_0 \in (b_{kj} \mid k = 1, \dots, n_j, i_j \leq n)R \subseteq \cup_{i=1}^n \mathfrak{p}_i = \mathfrak{p}_n.$$

As $b \notin \mathfrak{p}_n$ one gets $a_0 \in \mathfrak{p}_n$, and so $h \in \mathfrak{p}_n A + XA = Q_n$. Thus

$$Q_n = (J :_A c) \in \text{Ass}_A A/J.$$

□

DEFINITION 2.7. (See [13, Definition 3.1].) Let I be an ideal of R . A prime ideal \mathfrak{p} of R is said to be an associated prime of I in the Zariski-Samuel sense if $\mathfrak{p} = \sqrt{I :_R x}$ for some $x \in R$. Let $\text{ZS}(I)$ denote the set of Zariski-Samuel associated primes of I .

By using Lemma 2.4, one can easily deduce the following result:

LEMMA 2.8. *Let I be a proper ideal of R . If $\text{Spec } R$ is Noetherian, then $\text{Min } I \subseteq \text{ZS}(I)$.*

In view of Lemma 2.8, Corollary 2.5 and Theorem 2.6 immediately yield the following result.

COROLLARY 2.9. *Let R be a weakly Laskerian ring and I a proper ideal of R . Assume that either*

- i) *$\dim R$ is finite; or*
- ii) *the ring $R[X]$ is weakly Laskerian for some indeterminate X over R .*

Then $\text{Min } I \subseteq \text{ZS}(I)$.

Note that by Nagata's celebrated example [18, Example 1, p 203], there exist Noetherian integral domains of infinite Krull dimension. So, the ring $R[X]$ can be weakly Laskerian while $\dim R$ is infinite.

Theorem 2.3 and Corollary 2.5 are some instances of the situations in which weakly Laskerian rings behave like Noetherian rings. However, there are the cases when they behave completely different from Noetherian rings. See the following example.

EXAMPLE 2.10. Let R be a weakly Laskerian ring and M an R -module. One may guess that $M = 0$ if and only if $\text{Ass}_R M = \emptyset$. Also, one may conjecture that $\text{Min } (0) \subseteq \text{Ass}_R R$. But, the previous two properties do not hold in general. To this end, let k be a field, $T := k[X_1, X_2, \dots]$ and $J := (X_1, X_2^2, \dots, X_n^n, \dots)T$. Let $R := T/J$ and $\mathfrak{m} = (X_1, X_2, \dots, X_n, \dots)R$. Then we have $\text{Spec } R = \{\mathfrak{m}\}$, and so obviously the ring R is weakly Laskerian. We claim that $\text{Ass}_R R = \emptyset$. Assume the contrary. Then there is a polynomial $f \in T \setminus J$ such that $\mathfrak{m} = 0 :_R (f + J)$. There exists a positive integer t such that $f \in k[X_1, X_2, \dots, X_t]$. Then as $f \in T \setminus J$, it is easy to see that $(X_{t+1} + J)(f + J) \neq J$ which is a contradiction. Thus $R \neq 0$, $\text{Ass}_R R = \emptyset$ and $\text{Min } (0) \not\subseteq \text{Ass}_R R$.

3. Trivial ring extensions

Let M be an R -module. In this section, we establish a characterization for the weakly Laskerianity of the trivial ring extension $R \times M$; see Theorem 3.4.

Recall that $R \times M := R \times M$ with addition $(r_1, m_1) + (r_2, m_2) := (r_1 + r_2, m_1 + m_2)$ and multiplication $(r_1, m_1)(r_2, m_2) := (r_1 r_2, r_1 m_2 + r_2 m_1)$ is a commutative ring with identity $(1, 0)$ and is called the idealization of M . Note that R naturally embeds into $R \times M$ via $r \longrightarrow (r, 0)$ and if N is a submodule of M , then $0 \times N$ is an ideal of $R \times M$. For the ideal $\mathfrak{J} := 0 \times M$ of $R \times M$, one has $\mathfrak{J}^2 = 0$. Every ideal of $R \times M$ that contains $0 \times M$ has the form $I \times M$ for some ideal I of R . In particular, since any prime ideal \mathfrak{P} of $R \times M$ contains all nilpotent elements of $R \times M$ and hence contains $0 \times M$, it follows that $\mathfrak{P} = \mathfrak{p} \times M$ for some prime

ideal \mathfrak{p} of R . Moreover, every ideal of $R \times M$ that is contained in $0 \times M$ has the form $0 \times K$ for some submodule K of M . Some basic results on idealization can be found in [15].

[3, Proposition 2.2] and [12, Theorem 1.7] yield the following characterization for the Noetherianness of the trivial ring extension $R \times M$.

PROPOSITION 3.1. *Let M be an R -module. Then the ring $R \times M$ is Noetherian if and only if the ring R is Noetherian and the R -module M is finitely generated.*

LEMMA 3.2. *Let T be a quotient ring of R and X a T -module. Then X is weakly Laskerian as an R -module if and only if it is weakly Laskerian as a T -module. In particular, R is a weakly Laskerian ring if and only if any quotient ring of R is weakly Laskerian.*

PROOF. We may assume that $T = R/J$ for some ideal J of R . A subset Y of X is a submodule of X as an R -module if and only if it is a submodule of X as a T -module. On the other hand, for any T -module Z one has $\text{Ass}_R Z \subseteq V(J)$ and

$$\text{Ass}_T Z = \left\{ \frac{\mathfrak{p}}{J} \mid \mathfrak{p} \in \text{Ass}_R Z \right\}.$$

Thus $|\text{Ass}_R Z| = |\text{Ass}_T Z|$, and so X is weakly Laskerian as an R -module if and only if it is weakly Laskerian as a T -module. \square

LEMMA 3.3. *Let J be an ideal of R such that $J^2 = 0$. Assume that the ring R/J is weakly Laskerian and the R/J -module J is weakly Laskerian. Then the ring R is weakly Laskerian.*

PROOF. By Lemma 3.2 both R -modules J and R/J are weakly Laskerian. Hence by the exact sequence

$$0 \rightarrow J \rightarrow R \rightarrow R/J \rightarrow 0,$$

we deduce that the ring R is weakly Laskerian. \square

Our next result is the analogues of Proposition 3.1 for the weakly Laskerianness.

THEOREM 3.4. *Let M be an R -module. The ring $R \times M$ is weakly Laskerian if and only if R is a weakly Laskerian ring and M is a weakly Laskerian R -module.*

PROOF. Set $\mathfrak{J} := 0 \times M$. Note that the two rings R and $(R \times M)/\mathfrak{J}$ are naturally isomorphic and also \mathfrak{J} and M are naturally isomorphic as R -modules.

First, assume that R is a weakly Laskerian ring and M is a weakly Laskerian R -module. Then Lemma 3.3 yields that $R \times M$ is a weakly Laskerian ring.

Conversely, assume that the ring $R \times M$ is weakly Laskerian. As

$$R \cong \frac{R \times M}{\mathfrak{J}},$$

it turns out that the ring R is weakly Laskerian. Moreover, as \mathfrak{J} is a weakly Laskerian $R \times M$ -module and $\mathfrak{J}^2 = 0$, it follows that \mathfrak{J} is a weakly Laskerian $(R \times M)/\mathfrak{J}$ -module. So, M is a weakly Laskerian R -module. \square

As an immediate consequence, we record the following corollary.

COROLLARY 3.5. *Let M be a weakly Laskerian module over a Noetherian ring R . Then the ring $R \times M$ is weakly Laskerian.*

We end this section with the following two examples. In the first one, we present a non-Noetherian weakly Laskerian ring. The second one exhibits a weakly Laskerian ring that is not Laskerian.

In what follows, for an R -module M , $E_R(M)$ stands for the injective envelope of M .

EXAMPLE 3.6. Let R be a Noetherian semi-local ring and \mathfrak{p} a prime ideal of R with $\dim R/\mathfrak{p} \leq 1$. Since $V(\mathfrak{p})$ is finite, the R -module $E_R(R/\mathfrak{p})$ is weakly Laskerian. Hence, Corollary 3.5 yields that the ring $R \times E_R(R/\mathfrak{p})$ is weakly Laskerian. Note that if $\text{ht } \mathfrak{p} > 0$, then the R -module $E_R(R/\mathfrak{p})$ is not finitely generated, and so by Proposition 3.1 the ring $R \times E_R(R/\mathfrak{p})$ is not Noetherian.

EXAMPLE 3.7. Let M be a Laskerian module and r an element in the Jacobson radical of R . Then [14, Corollary 3.2] implies that $\bigcap_{n=1}^{\infty} r^n M = 0$. Now, let (R, \mathfrak{m}, k) be a Noetherian local domain of dimension $d > 0$ and let $E := E_R(k)$. Then $S := R \times E$ is a weakly Laskerian local ring with the unique maximal ideal $\mathfrak{m} \times E$. Let $0 \neq x \in \mathfrak{m}$ and put $r := (x, 0) \in S$. Then r is an element in the Jacobson radical of S . Since $xE = E$, we have $r^n S = x^n R \times E$. In particular, one has

$$0 \times E \subseteq \bigcap_{n=1}^{\infty} r^n S.$$

Thus $\bigcap_{n=1}^{\infty} r^n S \neq 0$, which implies that S is not a Laskerian ring.

4. Polynomial ring extensions

Let R be a weakly Laskerian ring and $\{X_\gamma\}_{\gamma \in \Gamma}$ a set of indeterminates over R . One may guess that the rings $R[\{X_\gamma\}_{\gamma \in \Gamma}]$ and $R[[\{X_\gamma\}_{\gamma \in \Gamma}]]$ are weakly Laskerian. Theorems 4.1 and 4.5 below show that the finiteness of Γ is a necessary but not sufficient condition for the weakly Laskerianity of these two rings.

THEOREM 4.1. *Let X_1, X_2, \dots be a countable set of indeterminates over any ring R (even weakly Laskerian). Then the rings $R[X_1, X_2, \dots]$ and $R[[X_1, X_2, \dots]]$ are not weakly Laskerian.*

PROOF. We only prove the claim for the ring $R[X_1, X_2, \dots]$, because our argument below can be used also for the ring $R[[X_1, X_2, \dots]]$.

Set $A := R[X_1, X_2, \dots]$ and let \mathfrak{m} be a maximal ideal of R . By Lemma 3.2 if A is a weakly Laskerian ring, then the ring $A/\mathfrak{m}A$ is also weakly Laskerian. But, there is an isomorphism of rings:

$$A/\mathfrak{m}A \cong (R/\mathfrak{m})[X_1, X_2, \dots].$$

As R/\mathfrak{m} is a field, it is enough to prove that the ring $A = k[X_1, X_2, \dots]$ is not weakly Laskerian, where k is a field and X_1, X_2, \dots are indeterminates over k .

In view of Theorem 2.3, it suffices to find an ideal I of A such that the set $\text{Min } I$ is infinite. To this end, let

$$I := (\{X_1\} \cup \{X_2\} \cup (\bigcup_{n=1}^{\infty} \{X_{2^{n+1}} X_{2^{n+2}} \cdots X_{2^{n+1}}\})).$$

Let

$$\mathfrak{B} := \{\mathfrak{p} \in \text{Spec } A \mid \mathfrak{p} = (X_{j_1}, X_{j_2}, X_{j_3}, \dots) \text{ where } j_1 = 1, j_2 = 2 \text{ and } 2^{k-2} < j_k \leq 2^{k-1} \text{ for all } k \geq 3\}.$$

Then it is clear that \mathfrak{B} is an infinite subset of $\text{Spec } A$. So, it is enough to prove that $\text{Min } I = \mathfrak{B}$. To do this, first let $\mathfrak{p} \in \text{Min } I$. Then we have $I \subseteq \mathfrak{p}$. In particular, $X_1, X_2 \in \mathfrak{p}$ and for each integer $k \geq 3$,

$$X_{2^{k-2+1}} X_{2^{k-2+2}} \cdots X_{2^{k-1}} \in \mathfrak{p}$$

which implies $X_{j_k} \in \mathfrak{p}$ for some integer $2^{k-2} < j_k \leq 2^{k-1}$. Now, put $j_1 = 1$ and $j_2 = 2$. Then $\mathfrak{q} := (X_{j_1}, X_{j_2}, X_{j_3}, \dots)$ is a prime ideal of A such that $I \subseteq \mathfrak{q} \subseteq \mathfrak{p}$. Hence, $\mathfrak{p} = \mathfrak{q} \in \mathfrak{B}$. Therefore, we have $\text{Min } I \subseteq \mathfrak{B}$. Next, let $\mathfrak{p} \in \mathfrak{B}$. Then it is clear that $I \subseteq \mathfrak{p}$. So, \mathfrak{p} contains a minimal prime ideal \mathfrak{q} of I . Then $\mathfrak{q} \in \text{Min } I \subseteq \mathfrak{B}$. So, $\mathfrak{q} \subseteq \mathfrak{p}$ and $\mathfrak{p}, \mathfrak{q} \in \mathfrak{B}$ which implies $\mathfrak{p} = \mathfrak{q}$. Note that the elements of \mathfrak{B} are pairwise incomparable under inclusion. \square

We will use the following result in the proof of Theorem 4.5. For its proof see [11, Theorem].

PROPOSITION 4.2. *Let X_1, X_2, \dots, X_n be n indeterminates over R . If $A := R[X_1, X_2, \dots, X_n]$, then $\text{Ass}_A A = \{\mathfrak{p}A \mid \mathfrak{p} \in \text{Ass}_R R\}$.*

Next, we record the following immediate corollary.

COROLLARY 4.3. *Let X_1, X_2, \dots, X_n be n indeterminates over R and $A := R[X_1, X_2, \dots, X_n]$. Then for any ideal I of R , the two sets $\text{Ass}_R R/I$ and $\text{Ass}_A A/IA$ have the same cardinality. In particular, if the ring A is weakly Laskerian, then the ring R is weakly Laskerian too.*

PROOF. Since $A/IA \cong (R/I)[X_1, X_2, \dots, X_n]$, the claim is clear by Proposition 4.2. Note that if J is an ideal of a ring T and X is a T/J -module, then $|\text{Ass}_T X| = |\text{Ass}_{T/J} X|$. \square

LEMMA 4.4. *Let (R, \mathfrak{m}, k) be a Noetherian local ring and set $S := R \times E_R(k)$ and $B := S[[X]]$. For any prime ideal \mathfrak{p} of R , there is a prime ideal $Q \in \text{Ass}_B B$ such that $Q \cap S = \mathfrak{p} \times E_R(k)$.*

PROOF. Let \mathfrak{p} be a prime ideal of R . As

$$E_{R/\mathfrak{p}}(k) = (0 :_{E_R(k)} \mathfrak{p}) = \bigcup_{n=1}^{\infty} (0 :_{E_{R/\mathfrak{p}}(k)} \mathfrak{m}^n)$$

and for every $n \geq 1$ the R -module $(0 :_{E_{R/\mathfrak{p}}(k)} \mathfrak{m}^n)$ is finitely generated, it follows that the R -module $E_{R/\mathfrak{p}}(k)$ has a countable generator set $\{a_i\}_{i \in \mathbb{N}_0}$ say. Now, set $f := \sum_{i \in \mathbb{N}_0} (0, a_i) X^i \in B$. As $\text{Ann}_R(E_{R/\mathfrak{p}}(k)) = \mathfrak{p}$, we deduce that the ideal $(0 :_B f)$ belongs to the set

$$\Omega := \{J \trianglelefteq B \mid (0 :_B f) \subseteq J \text{ and } J \cap S = \mathfrak{p} \times E_R(k)\}.$$

Because of the natural ring isomorphisms

$$\frac{B}{(\mathfrak{p} \times E_R(k))[[X]]} \simeq \left(\frac{S}{\mathfrak{p} \times E_R(k)} \right)[[X]] \simeq \left(\frac{R}{\mathfrak{p}} \right)[[X]],$$

one gets that the ring $B/(\mathfrak{p} \times E_R(k))[[X]]$ is Noetherian. So, it turns out that Ω has a maximal element P . We claim that $P \in \text{Spec } B$. Assume the opposite. Then there are $\zeta, \xi \in B \setminus P$ such that $\zeta\xi \in P$. So, by the choose of P there are elements

$$x \in (P + B\zeta) \cap S \setminus (\mathfrak{p} \times E_R(k))$$

and

$$y \in (P + B\xi) \cap S \setminus (\mathfrak{p} \times E_R(k)).$$

Thus

$$xy \in (P + B\zeta)(P + B\xi) \cap S \subseteq P \cap S = \mathfrak{p} \times E_R(k)$$

which is a contradiction. So, P is a prime ideal of B . Since $(0 :_B f) \subseteq P$, it follows that P contains a minimal prime ideal Q of $(0 :_B f)$. Now as

$$\mathfrak{p} \times E_R(k) = (0 :_B f) \cap S \subseteq Q \cap S \subseteq P \cap S = \mathfrak{p} \times E_R(k),$$

it follows that $Q \cap S = \mathfrak{p} \times E_R(k)$. Since the ring $T := B/(0 :_B f)$ is Noetherian and $Q/(0 :_B f)$ is a minimal prime ideal of T , it follows that

$$\frac{Q}{(0 :_B f)} \in \text{Ass}_T T.$$

Therefore, there is an element $h \in B \setminus (0 :_B f)$ such that

$$Q = ((0 :_B f) :_B h) = (0 :_B hf),$$

and so $Q \in \text{Ass}_B B$ and $Q \cap S = \mathfrak{p}$, as required. \square

The next result provides an example of a weakly Laskerian ring S such that the rings $S[X]$ and $S[[X]]$ are not weakly Laskerian.

THEOREM 4.5. *Let (R, \mathfrak{m}, k) be a Noetherian local ring of dimension d and let $S := R \times E_R(k)$. Then the following statements hold:*

- i) S is a weakly Laskerian ring.
- ii) if $d \geq 1$, then the ring $A := S[X]$ is not weakly Laskerian for any indeterminate X over S .
- iii) if $d \geq 2$, then the ring $B := S[[X]]$ is not weakly Laskerian for any indeterminate X over S .

PROOF. i) holds by Example 3.6.

ii) As

$$\text{Spec } S = \{\mathfrak{p} \times E_R(k) \mid \mathfrak{p} \in \text{Spec } R\},$$

it follows that S is a local ring with the unique maximal ideal $\mathfrak{n} := \mathfrak{m} \times E_R(k)$. In addition for the ideal $\mathfrak{J} := 0 \times E_R(k)$ of S , we have $\mathfrak{J}^2 = 0$, and so for the ideal $J := \mathfrak{J}[X]$ of the polynomials ring $A := S[X]$ we have $J^2 = 0$. So, J has an A/J -module structure. But, by the ring isomorphisms

$$\frac{A}{J} \simeq \left(\frac{S}{\mathfrak{J}}\right)[X] \simeq R[X],$$

it turns out that A/J is a Noetherian ring. Now, we claim that the ring A is not weakly Laskerian. In contrary assume that the ring A is weakly Laskerian. Then the ideal J of A is a weakly Laskerian A -module and hence by the A/J -module structure of J , it follows that J is also a weakly Laskerian A/J -module. Hence, by [5, Theorem 3.3], the A/J -module J is an FSF module. So, by the definition there exists a finitely generated submodule L of J such that the A/J -module J/L has finite support. But, in this situation L is a finitely generated ideal of A . Then there are elements $f_1, f_2, \dots, f_n \in J$ such that $L = (f_1, f_2, \dots, f_n)A$. Next, let $f_i = \sum_{j=0}^{k_i} (0, b_{ij})X^j$ for $i = 1, 2, \dots, n$. Then $B := \sum_{i=1}^n \sum_{j=0}^{k_i} Rb_{ij}$ is a finitely generated submodule of the Artinian R -module $E := E_R(k)$. Since $d \geq 1$, the R -module E is not finitely generated, and hence $\text{Ass}_R E/B = \{\mathfrak{m}\}$. Moreover it is obvious that $L \subseteq (0 \times B)[X]$, and so the A/J -module $\frac{J}{(0 \times B)[X]}$ has finite support. Thus, the A -module $\frac{J}{(0 \times B)[X]}$ has finite support too. Since $\mathfrak{m} \in \text{Ass}_R E/B$ it easily follows that $\mathfrak{n} \in \text{Ass}_S(\frac{J}{(0 \times B)})$, and so by Proposition 4.2, we have $\mathfrak{n}[X] \in \text{Ass}_A(\frac{J}{(0 \times B)[X]})$. This implies that

$$V(\mathfrak{n}[X]) \subseteq \text{Supp}_A\left(\frac{J}{(0 \times B)[X]}\right).$$

Since the PID $k[X]$ has infinitely many non-associated irreducible elements, it becomes clear that $\text{Spec } k[X]$ is infinite. Hence, from the natural ring isomorphisms

$$\frac{A}{\mathfrak{n}[X]} \simeq \left(\frac{S}{\mathfrak{n}}\right)[X] \simeq k[X],$$

we deduce that $V(\mathfrak{n}[X])$ is an infinite subset of $\text{Spec } A$. Now, we have achieved the desired contradiction.

iii) Since $\dim R = d \geq 2$, it follows that $\text{Spec } R$ and consequently $\text{Spec } S$ is finite. By Lemma 4.4, for any prime ideal \mathfrak{p} of R , there is a prime ideal $Q \in \text{Ass}_B B$ such that $Q \cap S = \mathfrak{p} \times E_R(k)$. Thus the finiteness of $\text{Ass}_B B$ implies the finiteness of $\text{Spec } S$. Therefore, the ring B is not weakly Laskerian. \square

5. Integral ring extensions

Theorem 5.2 below is the main result of this section. To prove it, we need the following result which might be of independent interest.

LEMMA 5.1. *Let X be an indeterminant over R and $A := R[X]$. Let J be an ideal of A , $\mathfrak{q} \in \text{Ass}_A A/J$ and $\mathfrak{p} = \mathfrak{q} \cap R$. For each integer $k \geq 0$, let \mathfrak{b}_k denote the set of all $a \in R$ for which there exists a polynomial of the type $a_0 + a_1X + \cdots + a_{k-1}X^{k-1} + aX^k$ in J . Then $\mathfrak{b}_0 \subseteq \mathfrak{b}_1 \subseteq \mathfrak{b}_2 \subseteq \cdots$ is a chain of ideals of R and there exists an integer $n \geq 0$ such that $\mathfrak{p} \in \text{Ass}_R R/\mathfrak{b}_n$.*

PROOF. It is easy to see that $\mathfrak{b}_0 \subseteq \mathfrak{b}_1 \subseteq \mathfrak{b}_2 \subseteq \cdots$ is a chain of ideals of R . By the definition, there is an element $f \in A \setminus J$ such that $\mathfrak{q} = (J :_A f)$. We can choose an element $f = a_0 + a_1X + \cdots + a_nX^n \in A$ of the minimum degree with the property $\mathfrak{q} = (J :_A f)$. Next, we claim that $(\mathfrak{b}_n :_R a_n) = \mathfrak{p}$. Assume the contrary. Then as $\mathfrak{p} \subseteq (\mathfrak{b}_n :_R a_n)$, there is an element $a \in (\mathfrak{b}_n :_R a_n) \setminus \mathfrak{p}$. As $a \in R$ and $a \notin \mathfrak{q} \cap R = \mathfrak{p}$, it follows that $a \notin \mathfrak{q}$. Since $aa_n \in \mathfrak{b}_n$, there exists $g \in J$ of degree at most n such that the degree of $af - g$ is less than n . As

$$\mathfrak{q} = (J :_A f) \subseteq (J :_A af) = J :_A (af - g),$$

by the choose of f , it follows that $\mathfrak{q} \subsetneq (J :_A af)$. Hence, there exists an element $h \in (J :_A af) \setminus \mathfrak{q}$. Now, we have $ha \in (J :_A f) = \mathfrak{q}$, $h \notin \mathfrak{q}$ and $a \notin \mathfrak{q}$ which is a contradiction. Thus we have $(\mathfrak{b}_n :_R a_n) = \mathfrak{p}$, and so $\mathfrak{p} \in \text{Ass}_R R/\mathfrak{b}_n$. \square

THEOREM 5.2. *Let R be a weakly Laskerian ring and A a ring extension of R which is finitely generated as an R -module. Then A is also a weakly Laskerian ring.*

PROOF. There are elements $\theta_1, \dots, \theta_n \in A$ such that $A = R[\theta_1, \dots, \theta_n]$ and θ_i 's are integral over R . As

$$R[\theta_1, \theta_2, \dots, \theta_n] = (R[\theta_1, \theta_2, \dots, \theta_{n-1}][\theta_n]),$$

by induction on n , we may assume that $A = R[\theta]$ and θ is integral over R .

Let X be an indeterminant over R and define $\phi : R[X] \rightarrow A$ with

$$\phi(c_0 + c_1X + \cdots + c_kX^k) = c_0 + c_1\theta + \cdots + c_k\theta^k.$$

Then ϕ is a surjective ring homomorphism, and so $A \cong R[X]/J$, where $J := \ker(\phi)$. Hence, it is enough to prove that $T := R[X]/J$ is a weakly Laskerian ring. To this end, let J_1/J be an ideal of T . We have to show that the set $\text{Ass}_T(R[X]/J_1)$ is finite. Set $\mathfrak{a} := J_1 \cap R$. Then by [4, Proposition 5.6 i)], the extension $R/\mathfrak{a} \subseteq R[X]/J_1$ is integral and finitely generated. Since θ is integral over R , there exists a polynomial

$$a_0 + a_1X + \cdots + a_{t-1}X^{t-1} + X^t \in J \subseteq J_1.$$

For each integer $k \geq 0$, set

$$\mathfrak{b}_k := \{a \in R \mid \text{there exists an element } a_0 + a_1X + \cdots + a_{k-1}X^{k-1} + aX^k \in J_1\}.$$

Then, $\mathfrak{b}_0 \subseteq \mathfrak{b}_1 \subseteq \mathfrak{b}_2 \subseteq \cdots$ is a chain of ideals of R , and as $1_R \in \mathfrak{b}_t$ it follows that $R = \mathfrak{b}_t = \mathfrak{b}_{t+1} = \mathfrak{b}_{t+2} = \cdots$.

Assume that $\text{Ass}_{R[X]}(\frac{R[X]}{J_1})$ is infinite. Set

$$\mathfrak{D} := \{\mathfrak{q} \cap R \mid \mathfrak{q} \in \text{Ass}_{R[X]}(\frac{R[X]}{J_1})\}.$$

Then as $R = \mathfrak{b}_t = \mathfrak{b}_{t+1} = \mathfrak{b}_{t+2} = \cdots$, Lemma 5.1 implies that $\mathfrak{D} \subseteq \bigcup_{n=0}^{t-1} \text{Ass}_R R/\mathfrak{b}_n$. In particular, as R is a weakly Laskerian ring, we deduce that \mathfrak{D} is a finite set. So, there exists an element $\mathfrak{p} \in \mathfrak{D}$ such that there are infinitely many elements in $\text{Ass}_{R[X]}(\frac{R[X]}{J_1})$ lying over \mathfrak{p} . But for each $\mathfrak{q} \in \text{Ass}_{R[X]}(\frac{R[X]}{J_1})$ with $\mathfrak{q} \cap R = \mathfrak{p}$, \mathfrak{q}/J_1 is a prime ideal of $R[X]/J_1$ lying over $\mathfrak{p}/\mathfrak{a}$. So, there are infinitely many prime ideals of $R[X]/J_1$ lying over $\mathfrak{p}/\mathfrak{a}$. But this is a contradiction with [17, Exercise 9.3]. So, A is a weakly Laskerian ring. \square

As an easy conclusion, we bring the following result.

COROLLARY 5.3. *Let R be a weakly Laskerian ring and X an indeterminant over R . Let J be an ideal of the ring $R[X]$ which contains a monic polynomial f . Then the ring $R[X]/J$ is weakly Laskerian.*

PROOF. The ring $R[X]/J$ is a quotient of the ring $A := R[X]/fR[X]$. As A is a finitely generated ring extension of R , the claim follows by Theorem 5.2. \square

We end the paper with the following result.

PROPOSITION 5.4. *Let R be a Noetherian ring and M a weakly Laskerian R -module. Let T be a Noetherian semi-local R -algebra which is integral over R . Then $M \otimes_R T$ is a weakly Laskerian T -module.*

PROOF. By [5, Theorem 3.3], there exists a finitely generated submodule N of M such that $\text{Supp}_R M/N$ is finite, and so $\dim_R M/N \leq 1$. Set $J := \bigcap_{\mathfrak{p} \in \text{Supp}_R M/N} \mathfrak{p}$. Then $\dim R/J \leq 1$ and $\text{Supp}_R M/N = V(J)$. It is easy to check that

$$\text{Supp}_T (M/N \otimes_R T) \subseteq V(JT).$$

Because T is integral over R , [4, Proposition 5.6 i)] yields that T/JT is integral over $R/JT \cap R$, and so we deduce that

$$\dim \frac{T}{JT} = \dim \frac{R}{JT \cap R} \leq \dim \frac{R}{J} \leq 1.$$

So as T is a semi-local ring, $V(JT)$ and, consequently, $\text{Supp}_T(M/N \otimes_R T)$ is finite. Now by applying [5, Theorem 3.3] again, we conclude that $M \otimes_R T$ is a weakly Laskerian T -module. \square

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6. References

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