Weak local-global compatibility and ordinary representations

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Abstract – We introduce a general formalism with minimal requirements under which we are able to prove the pro-modular Fontaine-Mazur conjecture. We verify it in the ordinary case using the recent construction of Breuil and Herzig.

1. Introduction

In [Em2], Emerton has shown that the completed cohomology of modular curves realises the $p$-adic local Langlands correspondence and used this result to prove the Fontaine-Mazur conjecture for $GL_2(\mathbb{Q})$. We start from the observation that Emerton’s methods can be well formalized to work for other groups, at least if we assume certain hypotheses, for example the existence of the $p$-adic Langlands correspondence. Fortunately, only some of the properties of the conjectural $p$-adic local Langlands correspondence are needed for applications to the pro-modular Fontaine-Mazur conjecture. We list them under hypothesis (H1) in the body of this text. After introducing this local definition, we move to the global setting. We work on the unitary Shimura varieties of type $U(n)$ (also called arithmetic manifolds). After establishing certain basic results on the completed cohomology of these objects, we introduce the notion of an allowable set, which is a dense set of points on the eigenvariety, such that the specialisation at its points of a certain universal deformation of $\bar{\rho}$ lies in the completed cohomology of our Shimura varieties. This gives a necessary global condition to link the local hypothesis (H1) with the completed cohomology. Having to deal only with allowable sets is easier, as we may hope that the description of the $p$-adic Langlands correspondence for certain representations (regular and crystalline) will be explicit.

We remark that eventually we use two deformation arguments: one at the local level and the other at the global level (the existence of allowable points). They

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are related to two hypotheses ((H1) and (H2) respectively) on our global Galois representation \( \overline{\rho} \). Assuming also a mild hypothesis (H3), we are able to prove the pro-modular Fontaine-Mazur conjecture for \( U(n) \) in the following form (actually, we develop even more general formalism):

**Proposition 1.1.** Let \( F \) be a CM field and let \( E \) be a finite extension of \( \mathbb{Q}_p \). Let \( \rho : \text{Gal}(\overline{F}/F) \to \text{GL}_n(E) \) be a continuous Galois representation such that

1. \( \rho \) is pro-modular.
2. \( \rho_v \) is de Rham and regular for every \( v|p \).
3. \( \overline{\rho} \) satisfies hypotheses (H1)-(H3).

Then \( \rho \) is a twist of a Galois representation associated to an automorphic form on \( U(n) \).

The pro-modularity condition is explained in Section 3. It should not be very restrictive, as it is believed that any representation \( \rho \) for which \( \overline{\rho} \) is modular, is pro-modular (this is proved by Emerton for \( GL_2 \) over \( \mathbb{Q} \)).

As a corollary to this proposition, we obtain a version of the Fontaine-Mazur conjecture on the respective eigenvariety.

**Corollary 1.2.** Let \( \overline{\rho} : \text{Gal}(\overline{F}/F) \to \text{GL}_n(k) \) be a continuous Galois representation which satisfies hypotheses (H1)-(H3). Let \( X[\overline{\rho}] \) be the \( \overline{\rho} \)-part of the eigenvariety \( X \) associated to \( U(n) \) by the construction of Emerton from [Em1]. Let \( x \in X[\overline{\rho}] \) be an \( E \)-point such that its associated representation \( \rho_x : \text{Gal}(\overline{F}/F) \to \text{GL}_n(E) \) is de Rham and regular at every place of \( F \) above \( p \). Then \( x \) is modular.

There is one principal example (besides \( GL_2(\mathbb{Q}_p) \)) when our formalism is satisfied and it was the motivation behind writing this text - namely, the recent construction of the ordinary representations of Breuil-Herzig ([BH]). We review this setting in the second part of this paper and then we prove unconditionally the pro-modular Fontaine-Mazur conjecture for \( U(n) \) in the ordinary totally indecomposable setting at the end of this text (see Theorem 4.18 and its Corollary 4.19). Interestingly, the proof is relatively simple and we do not use in it the full construction of Breuil-Herzig. Our main unconditional result is

**Theorem 1.3.** Let \( z \in X_{K_p}^{\text{ord}}(E) \), where \( X_{K_p}^{\text{ord}} \) is the ordinary part of the eigenvariety of some tame level \( K_p \) associated to \( U(n) \) and let \( \rho \) be the Galois representation associated to \( z \). For each \( v | p \) we assume that

1. \( \rho_v \) is de Rham and regular;
2. the reduction \( \overline{\rho}_v \) is generic and totally indecomposable.

Then \( z \) is classical (i.e. \( z \) arises from a classical automorphic representation of \( U(n) \)).
This result is also implied by a well-known classicality theorem of Hida. Nonetheless, our proof is completely different.

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2. Definitions and basic facts

Let $L$ denote an imaginary quadratic field in which $p$ splits and let $c$ be the complex conjugation. Choose a prime $u$ above $p$. Let us denote by $F$ a totally real field of degree $d$. Set $F = LF^+$. We will assume that $p$ totally decomposes in $F$. Let $D/F$ be a central simple algebra of dimension $n^2$ such that $F$ is the centre of $D$, the opposite algebra $D^{op}$ is isomorphic to $D \otimes_{L,c} L$, and $D$ is split at all primes above $u$. Choose an involution of the second kind $*$ on $D$ and assume that there exists a homomorphism $h : C \to D_R$ for which $b \mapsto h(i)^{-1} b^* h(i)$ is a positive involution on $D_R$.

Define the reductive algebraic group $G/Q$ where

$$G(R) = \{ (\lambda, g) \in R^\times \times D^{op} \otimes_Q R \mid gg^* = \lambda \},$$

for any $Q$-algebra $R$. We assume that $G$ is a unitary group of signature $(0, n)$ at all infinite places.

We choose a $p$-adic field $E$ with ring of integers $O$ and residue field $k$. These will be our coefficient rings.

We will fix an integral model of $G$ over $O_{F^+}[1/N]$ (see for example 4.1 in BH for details). We consider 0-dimensional Shimura varieties $S_K = G(\mathbb{Q}) \backslash G(A_f)/K$ for $G$, where $K$ is a compact open subgroup of $G(A_f)$.

Let $W$ be a finite-dimensional representation of $G$ over $E$. By the construction described in Chapter 2 of Em1, we can associate to $W$ a local system $V_W$ on $S_K$. Let us fix a finite set $\Sigma$ of primes $w$ of $F$, such that $w | F^+$ splits and $w$ does not divide $pN$. We can now define the abstract Hecke algebra

$$T_{w}^{ab} = O[T_{w}^{(i)}]_{w \notin \Sigma}$$

where $T_{w}^{(i)}$ are the Hecke operators for $1 \leq i \leq n$. The operator $T_{w}^{(i)}$ acts on the Shimura variety $S_K$ by a double coset $GL_n(O_{F_w}) \left( \begin{smallmatrix} 1 & 0 \\ 0 & \varpi_w \end{smallmatrix} \right) GL_n(O_{F_w})$, where $\varpi_w$ is a uniformiser of $O_{F_w}$.

We define the completed cohomology of Emerton by

$$\hat{H}^0(K^p) = (\varprojlim_s \varinjlim_{K^p} H^0(S_{K_s,K^p}, O/\varpi^s O)) \otimes_O E$$
where $K_p \subset G(\mathbb{Q}_p)$ and $K^p \subset G(k^{\mathbb{A}}_p)$ are open compact subgroups. We also define its $\mathcal{O}$-submodule

$$\hat{H}^0(K^p)_{\mathcal{O}} = \lim_{\xleftarrow{s}} \lim_{\xrightarrow{s}} H^0(S_{K_p,K^p}, \mathcal{O}/\mathfrak{o}^s \mathcal{O})$$

We will fix the tame level $K^p$ for the rest of the text. Let $K^\Sigma = \prod_{l \notin \Sigma} G(\mathbb{Z}_l)$. We assume that $(K^p)_l = G(\mathbb{Z}_l)$ at each $l \notin \Sigma$.

We write $T(K_pK^p)$ for the image of $T_{abs} \Sigma$ in $\text{End}_{\mathcal{O}}(H^0(S_{K_pK^p}, \mathcal{O}))$. Then we define

$$T = T(K^p) := \lim_{\xleftarrow{K_p}} T(K_pK^p)$$

where the limit runs over open compact subgroups $K_p$ of $G(\mathbb{Q}_p)$. We remark that $T$ has a finite number of maximal ideals and is a product of its localisation at those maximal ideals. We refer the reader to p. 28 of [So] for details. In particular, if $m$ is a maximal ideal of $T$, then $T_m$ is a direct factor of $T$.

We define also

$$H^0(K^p, \mathcal{V}_W) = \lim_{\xleftarrow{K_p}} H^0(S_{K_p,K^p}, \mathcal{V}_W)$$

where $W$ is an irreducible algebraic representation of $G$ and $\mathcal{V}_W$ is the $E$-local system on $(S_k)_K$ associated to $W$.

We recall the definition of locally algebraic vectors from [Em4] (for the following $G$ we will take $G/\mathbb{Q}_p$ eventually using the previous notation)

**Definition 2.1.** Let $G$ be the group of $\mathbb{Q}_p$-points in some connected linear algebraic group $G$ over $\mathbb{Q}_p$ and let $V$ be a representation of $G$ over $E$. Let $W$ be a finite-dimensional algebraic representation $W$ of $G$ over $E$. A vector $v$ in $V$ is locally algebraic if there exists an open subgroup $H$ of $G$, a natural number $n$, and an $H$-equivariant homomorphism $W^n \to V$ whose image contains the vector $v$. We write $V_{W-la}$ for the set of locally $W$-algebraic vectors of $V$.

Emerton proved in Proposition 4.2.2 of [Em4] that $V_{W-la}$ is a $G$-invariant subspace of $V$.

**Definition 2.2.** A vector $v$ in $V$ is locally algebraic, if it is locally $W$-algebraic for some finite-dimensional algebraic representation $W$ of $G$. We denote the set of locally algebraic vectors of $V$ by $V_{l.alg}$.

It is a $G$-invariant subspace of $V$ by Proposition 4.2.6 of [Em4]. We have the following proposition

**Proposition 2.3.** We have a $G(k^{\mathbb{A}_0})$-equivariant isomorphism

$$\hat{H}^0(K^p)_{l.alg} \cong \bigoplus_W H^0(K^p, \mathcal{V}_W) \otimes W^\vee$$
where the sum is taken over all isomorphism classes of irreducible algebraic representations of \( G \).

**Proof.** This follows from the Emerton spectral sequence. See Corollary 2.2.18 of [Em1].

Let \( \mathfrak{m} \) be a maximal ideal of \( \mathbb{T} \) which we fix and let \( \bar{\rho}_m : G_F \rightarrow \text{GL}_n(k) \) be the continuous Galois representation which is unramified outside \( \Sigma \) and whose characteristic polynomial satisfies

\[
\text{char} \bar{\rho}_m(\text{Frob}_w) = \sum_{i=0}^n (-1)^{n-i} Nm(w)^{i(i-1)/2} T_w^{(i)} X^i \mod \mathfrak{m}
\]

for all places \( w \) which do not belong to \( \Sigma \) and which split when restricted to \( F^+ \) (here \( \text{Frob}_w \) is the geometric Frobenius). This is the Galois representation associated to \( \mathfrak{m} \). We refer the reader to Proposition 3.4.2 in [CHT] for the construction.

We assume that the maximal ideal \( \mathfrak{m} \) of \( \mathbb{T} \) is non-Eisenstein, that is \( \bar{\rho}_m \) is absolutely irreducible. We let \( \bar{\rho}_m \) be the universal automorphic deformation of \( \bar{\rho}_m \) over \( \mathbb{T}_m \) (its construction is standard and we do not recall it here; precise references may be found in Section 4.3 of [CS]). It is an \( n \)-dimensional Galois representation over \( \mathbb{T}_m \) which satisfies

\[
\text{char} \rho_m(\text{Frob}_w) = \sum_{i=0}^n (-1)^{n-i} Nm(w)^{i(i-1)/2} T_w^{(i)} X^i
\]

for all places \( w \) which do not belong to \( \Sigma \) and which split when restricted to \( F^+ \).

### 3. General formalism

We now explain the general formalism for proving the pro-modular Fontaine-Mazur conjecture which we specialize at the end to the ordinary setting.

Let \( \mathbb{T}_m' \) be a local complete reduced \( \mathcal{O} \)-algebra finite over \( \mathbb{T}_m \) and let \( \rho'_m : G_F \rightarrow \text{GL}_n(\mathbb{T}_m') \) be the pushout of the universal representation \( \rho_m \) to \( \mathbb{T}_m' \). In what follows, we will always write \( p' \) for an ideal of \( \mathbb{T}_m' \) and \( p \) for its inverse image in \( \mathbb{T}_m \). In particular, we will write \( \mathfrak{m}' \) for the maximal ideal of \( \mathbb{T}_m' \).

We will make certain hypotheses (the last one depending on an ideal \( p' \in \text{Spec} \mathbb{T}_m' \)):

- **(H1)** There exists an admissible representation \( \Pi(\rho'_{m,v}) \) of \( \text{GL}_n(\mathbb{Q}_p) \) over \( \mathbb{T}_m' \) associated to each local representation \( \rho'_{m,v} \) for \( v|p \). This representation is such that for each prime ideal \( p' \) of \( \mathbb{T}_m \) which comes from \( \text{Spm}(\mathbb{T}_m'[1/p]) \) (where \( \text{Spm} \) is the maximal spectrum, i.e. the set of maximal ideals) for
which \( \rho'_{m,v}/p'[1/p] \) is regular and de Rham at all places \( v \) dividing \( p \), the locally algebraic vectors of \( \Pi(\rho'_{m,v})/p'[1/p] \) are non-zero for all \( v|p \). Moreover we assume that the \( k \)-representation \( \pi_{m,v} := \Pi(\rho'_{m,v})/m' \) is of finite length.

- (H2): There exists an allowable set of points for \( \Pi(\rho'_{m,v}) \) (for each \( v|p \)), that is, there exists a dense set of points \( \mathcal{C} \) in \( \text{Spec}(T'_{m}) \) which is contained in \( \text{Spm}(T'_m[1/p]) \) and such that for each \( p'|p \in \mathcal{C} \) we have
  \[
  \text{Hom}_{\mathcal{O}}(\hat{\Omega}_{p}[\rho'_{m,v}] / p', \hat{H}^{0}(K^{p})) \neq 0
  \]

- (H3)[\( p' \)]: Every non-zero \( T_{m}[G(\mathbb{Q}_{p})]-\)linear map
  \[
  \hat{\Omega}_{p}[\rho'_{m,v}] / p' \to \hat{H}^{0}(K^{p})
  \]
  is an embedding.

Let us make some comments before showing how these hypotheses imply the pro-modular Fontaine-Mazur conjecture.

The hypothesis (H1) gives an existence of a representation which shall be viewed as an approximation of the \( p \)-adic local Langlands correspondence applied to \( \rho'_{m} \).

In what follows (H1) will be satisfied by using the construction of Breuil-Herzig of the ordinary part of the \( p \)-adic local Langlands correspondence.

Regarding the hypothesis (H3)[\( p' \)] we will not say anything here. It is needed to deduce that certain locally algebraic vectors are non-zero.

We are left with discussing (H2). Let us define
\[
\Pi_{p} = \hat{\Omega}_{p}[\rho'_{m,v}]
\]

We define \( T'_{m} \)-module
\[
X = \text{Hom}_{T'_{m}[G(\mathbb{Q}_{p})]}(\Pi_{p}, \hat{H}^{0}(K^{p}))
\]

of \( T_{m}[G(\mathbb{Q}_{p})]-\)linear homomorphisms which are \( G(\mathbb{Q}_{p}) \)-equivariant and continuous, where \( \Pi_{p} \) is given the \( m \)-adic topology.

The hypothesis (H2) is equivalent to demanding the existence of an allowable set for \( \bar{\rho} \) that is a dense subset \( \mathcal{C} \) on \( \text{Spec} T'_{m} \), such that for all \( p'|p \in \mathcal{C} \) we have
\[
X[p'] = \text{Hom}_{T_{m}[G(\mathbb{Q}_{p})]}(\Pi_{p}/p', \hat{H}^{0}(K^{p}) \mathcal{O}_{m}) \neq 0
\]

Let us prove a preliminary lemma:

**Lemma 3.1.** \( \text{Hom}_{\mathcal{O}}(X, \mathcal{O}) \otimes_{\mathcal{O}} E \) is a finitely generated \( T'_{m}[1/p] \)-module.

**Proof.** By Proposition C.5 of [Em2] we have to show that \( X \) is cofinitely generated. By Definition C.1, because \( H^{0}(K^{p}) \mathcal{O}_{m} \) is \( \varpi \)-adically complete, separated
and $\mathcal{O}$-torsion free, we are left to show that $(X/\varpi X)[m']$ is finite-dimensional over $k$. But we have

$$ (X/\varpi X)[m'] \hookrightarrow \text{Hom}_{k[\mathbb{Q}_p]}(\Pi_p/m', \tilde{H}^0(K_p)_{k,m}) $$

and we show that Hom is finite-dimensional. Because $\Pi_p/m' = \otimes_v \pi_{m,v}$ and each $\pi_{m,v}$ is of finite length, for each $v$ we can choose a finite-dimensional $k$-subspace $W_v$ of $\pi_{m,v}$ which generates $\pi_{m,v}$ as a $\text{GL}_n(\mathbb{Q}_p)$-representation. Let $W = \otimes_v W_v$.

Since $W_v$ is smooth and finite-dimensional we can choose a compact open subgroup $K_v$ fixing $W_v$ point-wise. Let $K_p = \prod_v K_v$. By restriction we have

$$ \text{Hom}_{k[\mathbb{Q}_p]}(\Pi_p/m', \tilde{H}^0(K_p)_{k,m}) \hookrightarrow \text{Hom}_{k[K_p]}(W, \tilde{H}^0(K_p)_{k,m}) $$

Since $K_p$ acts trivially on $W$ we moreover have

$$ \text{Hom}_{k[K_p]}(W, \tilde{H}^0(K_p)_{k,m}) \cong W^\vee \otimes_k H^0(S_{K_p K^\infty}, k) $$

which is of finite dimension over $k$.

**Lemma 3.2.** Assume (H2). Then $X[p'] \neq 0$ for all $p' \in \text{Spec } T'_m$.

**Proof.** By Lemma C.14 of [Em2], we have

$$ (T'_m/p') \otimes_{T'_m} \text{Hom}_\mathcal{O}(X, \mathcal{O}) \otimes_\mathcal{O} E \cong \text{Hom}_\mathcal{O}(X[p'], \mathcal{O}) \otimes_\mathcal{O} E $$

and so it suffices to show that the elements on the right are non-zero for all $p'$ if and only if they are non-zero for all $p' \in C$. Consider things in more generality. Let $M$ be a finitely generated $T'_m[1/p]$-module such that $M/p'M \neq 0$ for all $p' \in C$. Because $T'_m[1/p]/p'$ is a field, it follows that $M/p'M$ is a faithful $T'_m[1/p]/p'$-module. If $t \in T'_m[1/p]$ acts by $0$ on $M$ then it acts by $0$ on $M/p'M$ for all $p'$, and as $M/p'M \neq 0$ if $p' \in C$, we have $t \in p'$ for all $p' \in C$, that is $t \in \cap_{p' \in C} p' = 0$. So $T'_m[1/p]$ acts faithfully on $M$. Now, let $p'$ be any maximal ideal of $T'_m[1/p]$ and suppose that $M/p'M = 0$, that is $M = p'M$. As $M$ is finitely generated $T'_m[1/p]$-module, Nakayama’s lemma gives us a non-zero element $t$ of $T'_m[1/p]$ such that $tM = 0$, which is impossible as we have shown above. We deduce that $M/p'M \neq 0$ for all $p'$. Applying this reasoning to $M = \text{Hom}_\mathcal{O}(X, \mathcal{O}) \otimes_\mathcal{O} E$ which is finitely generated by Lemma 3.1 we conclude.

**Definition 3.3.** We say that a representation $\rho : \text{Gal}(\bar{F}/F) \to \text{GL}_n(E)$ is pro-modular with respect to $T'_m$ if there exists a prime ideal $p'$ of $T'_m$ such that $\rho \simeq \rho_n/p[1/p]$ and $\tilde{H}^0(K_p)[p] \neq 0$, where $p$ is the inverse image of $p'$ in $T'_m$.

One natural source of pro-modular representations are representations attached to points on the eigenvariety for $G$. We shall review this notion later on.
An automorphic representation $\pi$ of $G$ and tame level $K^p$ is an irreducible $G$-subrepresentation of $H^0(K^p, V_W)$ for some irreducible algebraic representation $W$ of $G$. We can associate to $\pi$ a Galois representation, see [CHT]. We say that $\rho$ is modular if it is the Galois representation associated to some automorphic representation of $G$ of tame level $K^p$. This is equivalent to $\hat{H}^0(K^p)_{l\text{-alg}}[p] \neq 0$ by Proposition 2.3. Our three hypotheses imply the pro-modular Fontaine-Mazur conjecture in the following form.

**Theorem 3.4.** Let $\rho : \text{Gal}(\bar{F}/F) \to \text{GL}_n(E)$ be a pro-modular Galois representation with respect to $T_m$ with the associated prime ideal $p'$ of $T_m$. Assume that $\rho$ is de Rham and regular at all places dividing $p$. Assume also that hypotheses $(H1),(H2)$ and $(H3)[p']$ hold. Then $\rho$ is modular.

**Proof.** As $\rho_v$ is de Rham and regular for every $v | p$, by (H1) we have that $\Pi(\rho_v)_{l\text{-alg}} \neq 0$ for every $v | p$. By Lemma 3.2 and the hypothesis $(H3)[p']$ we conclude that also $\hat{H}^0(K^p)_{l\text{-alg}}[p] \neq 0$ which is what we wanted. \hfill $\square$

In the rest of this text we will explain the ordinary setting.

4. Ordinary case

In this section, we show that the ordinary part of Breuil-Herzig ([BH]) fulfills the formalism presented in the previous section.

4.1 - Preliminaries on reductive groups

We recall certain results on reductive groups used in [BH]. Let $G$ be a split connected reductive $\mathbb{Z}_p$-group with a Borel subgroup $B$ and a torus $T \subset B$. We let $(X(T), R, X^\vee(T), R^\vee)$ be the root datum of $G$, where $R \subset X(T)$ (respectively $R^\vee \subset X^\vee(T)$) is the set of roots (resp. coroots). For each $\alpha \in R$, let $s_\alpha$ be the reflection on $X(T)$ associated to $\alpha$. Let $W$ be the Weyl group, the subgroup of automorphisms of $X(T)$ generated by $s_\alpha$ for $\alpha \in R$.

We fix a subset of simple roots $S \subset R$ and we let $R^+ \subset R$ be the set of positive roots (roots in $\oplus_{\alpha \in S} \mathbb{Z}_{\geq 0}\alpha$). Let $G^{der}$ be the derived group of $G$ and let $\hat{G}$ be the dual group scheme of $G$ (which we get by taking the dual root datum). We have also dual groups $\hat{B}$ and $\hat{T}$.

To $\alpha \in R$ one can associate a root subgroup $U_\alpha \subset G$. We have $\alpha \in R^+$ if and only if $U_\alpha \subset B$. We let $\mathfrak{g}_\alpha$ be the Lie algebra of $U_\alpha$. We call a subset $C \subset R$ closed if for each $\alpha \in C, \beta \in C$ such that $\alpha + \beta \in R$, we have $\alpha + \beta \in C$. If $C \subset R^+$ is a closed subset, we let $U_C \subset U$ be the Zariski closed subgroup of $B$ generated by the root subgroups $U_\alpha$ for $\alpha \in C$. We let $B_C = Tu_C$ be the Zariski closed subgroup of $B$ determined by $C$. 
Let us spell out all the assumptions that we put on $G$ and its dual group $\hat{G}$. We suppose throughout this text that both $G$ and $\hat{G}$ have connected centers. Moreover we suppose that $G^{der}$ is simply connected (some of these conditions are equivalent, see Proposition 2.1.1 in [BH]). This condition implies that there exist fundamental weights $\lambda_\alpha$ for $\alpha \in S$. They satisfy for any $\beta \in S$:

$$\langle \lambda_\alpha, \beta^\vee \rangle = \begin{cases} 1 & \text{if } \alpha = \beta \\ 0 & \text{if } \alpha \neq \beta \end{cases}$$

We define as in Section 3.1 of [BH] a twisting element $\theta$ for $G$ by setting $\theta = \sum_{\alpha \in S} \lambda_\alpha$. For any $\alpha \in S$ we have $\langle \theta, \alpha^\vee \rangle = 1$.

If $C \subset R$ is a closed subset, write $G_C$ for the Zariski closed subgroup scheme of $G$ generated by $T$, $U_\alpha$ and $U_{-\alpha}$ for $\alpha \in C$. For $C = \{\alpha\}$ we write simply $G_\alpha$ for $G_C$. A subset $J \subset S$ of pairwise orthogonal roots is closed (see the proof of Lemma 2.3.7 in [BH]) and hence we can define $G_J$ as above.

**Lemma 4.1 (Lemma 3.1.4, [BH]).** Let $J \subset S$ be a subset of pairwise orthogonal roots. Then there is a subtorus $T'_J \subset T$ which is central in $G_J$ such that $G_J \simeq T'_J \times GL^J_J$.

We use this lemma in the construction of $\Pi(\rho)^{ord}$ which we define as a sum over certain induced representations of $G_J(\mathbb{Q}_p)$. We construct representations of $G_J(\mathbb{Q}_p)$ by using the $p$-adic local Langlands correspondence for $GL^2(\mathbb{Q}_p)$.

### 4.2 – Ordinary part of the $p$-adic local Langlands correspondence

Let $E$ be a finite extension of $\mathbb{Q}_p$ with ring of integers $\mathcal{O}$ and let $k$ be its residue field. We fix also a uniformiser $\varpi$. Let $A$ be a complete local Noetherian $\mathcal{O}$-algebra with residue field $k$.

We have

$$T(\mathbb{Q}_p) = \text{Hom}_{\text{Spec}(\mathbb{Q}_p)}(\text{Spec}(\mathbb{Q}_p), \text{Spec}(\mathbb{Q}_p[X(T)])) = \text{Hom}_{\mathbb{Z}}(X(T), \mathbb{Q}_p^\times) =$$

$$= \text{Hom}_{\mathbb{Z}}(X(T), \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{Q}_p^\times = X(\hat{T}) \otimes_{\mathbb{Z}} \mathbb{Q}_p^\times$$

To a continuous character

$$\hat{\chi} : \text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p) \to \text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q})^{ab} \to \hat{T}(A)$$

we can associate a continuous character $\chi : T(\mathbb{Q}_p) \to A^\times$ by taking the composite of the maps

$$T(\mathbb{Q}_p) \simeq X(\hat{T}) \otimes_{\mathbb{Z}} \mathbb{Q}_p^\times \hookrightarrow X(\hat{T}) \otimes_{\mathbb{Z}} \text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p)^{ab} \to X(\hat{T}) \otimes_{\mathbb{Z}} \hat{T}(A) \to A^\times$$

where the first injection comes from local class field theory (we normalize the reciprocity map of local class field theory so that uniformizers correspond to geometric Frobenius elements).
We define the p-adic cyclotomic character $\epsilon: G_{\mathbb{Q}_p} \to A^\times$ by composing the standard p-adic cyclotomic character which takes values in $\mathcal{O}^\times$ with the inclusion $\mathcal{O}^\times \hookrightarrow A^\times$. By the local class field theory we can also consider it as a character of $\mathbb{Q}_p^\times$ which we tacitly do in what follows.

Let us consider a continuous homomorphism $\rho: \text{Gal}(\overline{\mathbb{Q}}_p/\mathbb{Q}_p) \to \hat{G}(A)$

**Definition 4.2.** We say that $\rho$ is **triangular** when it takes values in our fixed Borel $\hat{B}(A)$ of $\hat{G}(A)$.

We let $C_\rho \subset R^+\lor$ be the smallest closed subset such that $\hat{B}_{C_\rho}(A)$ contains all the $\rho(g)$ for $g \in \text{Gal}(\overline{\mathbb{Q}}_p/\mathbb{Q}_p)$ (compare with Lemma 2.3.1 of [BH]). Here by $\hat{B}_C$ for $C \subset R^+$ (and dually $\hat{B}_C$ for $C \subset R^+\lor$) we mean $TU_C$, where $T$ is a torus as in $B = TU$ and $U_C$ is the group generated by $U_\alpha$ (the standard notation) for $\alpha \in C$. For more details see Section 2.3 of [BH].

Thus $\rho$ factorises via $\hat{B}_{C_\rho}(A)$

$\rho: \text{Gal}(\overline{\mathbb{Q}}_p/\mathbb{Q}_p) \to \hat{B}_{C_\rho}(A) \subset \hat{B}(A) \subset \hat{G}(A)$

We associate a character $\hat{\chi}_\rho$ to $\rho$ by composing $\rho$ with the natural surjection

$\hat{\chi}_\rho: \text{Gal}(\overline{\mathbb{Q}}_p/\mathbb{Q}_p) \to \hat{B}_{C_\rho}(A) \to \hat{T}(A)$

We attach to $\hat{\chi}_\rho$ a continuous character $\chi_\rho: T(\mathbb{Q}_p) \to A^\times$ by the local class field theory as above.

**Definition 4.3.** We say that a triangular $\rho$ is **generic** if $\alpha^\lor \circ \hat{\chi}_\rho \notin \{1, \epsilon, \epsilon^{-1}\}$ for all $\alpha \in R^+$ (or equivalently all $\alpha \in R$). We say that a triangular $\bar{\rho}$ is **generic** if $\alpha^\lor \circ \hat{\chi}_{\bar{\rho}} \notin \{1, \bar{\epsilon}, \bar{\epsilon}^{-1}\}$ for all $\alpha \in R^+$.

In what follows we will consider only triangular representations $\rho$. We assume that $\bar{\rho}$ is generic.

We now construct several representations of $G(\mathbb{Q}_p)$ over $A$ attached to $\rho$. Let $I \subset S^\lor$ be a subset of pairwise orthogonal roots. We shall firstly construct an admissible continuous representation $\Pi(\rho)_I$ of $G_{I^\lor}(\mathbb{Q}_p)$ over $A$. We imitate the proof of Proposition 3.3.3 in [BH], though we present a simplified construction, because we do not need to show unicity of $\Pi(\rho)_I$. Only later on and under additional assumptions we will show that we recover the construction of Breuil and Herzig over fields. Then we obtain a representation $\Pi(\rho)^{\text{ord}}$ of $G(\mathbb{Q}_p)$ over $A$, which generalizes the construction of Breuil and Herzig over fields, and which we define as a direct limit of $\Pi(\rho)_I$ over different $I$ (where $\Pi(\rho)_I$ is simply $\Pi(\rho)_I$ induced to $G(\mathbb{Q}_p)$). In particular, we shall consider a representation $\Pi(\rho)_\emptyset = (\text{Ind}^G_{B(\mathbb{Q}_p)} \chi_{\rho} \cdot (\epsilon^{-1} \circ \theta))^{\text{co}}$ which we use for the proof of the pro-modular Fontaine-Mazur conjecture. All
these representations are functorial in $A$ and hence behave well with respect to reduction modulo prime ideals.

If $\beta \in I^\vee$, $T_\beta$ is the maximal torus of $B_\beta$ (a Borel subgroup of $GL_2(\mathbb{Q}_p)$) and $\chi_\beta : T_\beta(\mathbb{Q}_p) \to A^\times$ is a continuous character, we define

$$\Pi_\beta(\chi_\beta) = \left( \text{Ind}_{\left( \begin{smallmatrix} \mathbb{Q}_p^\times & 0 \\ \mathbb{Z}_p & 1 \end{smallmatrix} \right)}^{GL_2(\mathbb{Q}_p)} \chi_\beta \cdot (\epsilon^{-1} \circ \theta) |_{T_\beta(\mathbb{Q}_p)} \right)^{c_0}$$

This is a representation of $GL_2(\mathbb{Q}_p)$ which we use as a building block. We let $\rho : \text{Gal}(\overline{\mathbb{Q}}_p/\mathbb{Q}_p) \to GL_{2,\beta^\vee}(A)$ be the representation which we get by composing $\rho : \text{Gal}(\overline{\mathbb{Q}}_p/\mathbb{Q}_p) \to \tilde{B}(A)$ with $\tilde{B}(A) \to \tilde{B}_\beta(A) \to GL_{2,\beta^\vee}(A)$. We define $\mathcal{E}_\beta$ as the representation attached to the 2-dimensional Galois representation $\rho_\beta$ by the $p$-adic local Langlands correspondence for $GL_2(\mathbb{Q}_p)$. In order to have a functorial construction we fix a quasi-inverse $\text{MF}^{-1}$ to the Colmez functor $\text{MF}$ for $GL_2(\mathbb{Q}_p)$ (we use the notation of Emerton from \cite{Em2}). For any $\beta$ it sends a lifting of $\rho_\beta$ to a lifting of $\tilde{\pi}_\beta$ with a central character (where $\tilde{\pi}_\beta$ is the smooth representation over $k$ corresponding to $\rho_\beta$ by the mod $p$ local Langlands correspondence). Then we define

$$\mathcal{E}_\beta = \text{MF}^{-1}(\rho_\beta)$$

We remark that over $k$ this is an extension of $\Pi_\beta(s_\beta(\chi_\rho |_{T_\beta(\mathbb{Q}_p)}))$ by $\Pi_\beta(\chi_\rho |_{T_\beta(\mathbb{Q}_p)})$ because $\rho_\beta$ is lower-triangular with the appropriate character on the diagonal (see Proposition 3.4.2 in \cite{Em2}).

Let $\chi_{\rho, I^\vee} = \chi_\rho |_{T_\beta(\mathbb{Q}_p)}$. We define an admissible continuous representation of $T_{I^\vee}(\mathbb{Q}_p) \times GL_2(\mathbb{Q}_p)^{I^\vee}$

$$\tilde{\Pi}(\rho)_I = \chi_{\rho, I^\vee} \cdot (\epsilon^{-1} \circ \theta |_{T_{I^\vee}(\mathbb{Q}_p)}) \otimes_A (\tilde{\otimes}_{\beta \in I^\vee} \mathcal{E}_\beta)$$

This is exactly the representation we look for.

We set

$$\Pi(\rho)_I = \left( \text{Ind}^{G(\mathbb{Q}_p)}_{B^-(\mathbb{Q}_p)G_{I^\vee}(\mathbb{Q}_p)} \tilde{\Pi}(\rho)_I \right)^{c_0}$$

where we view $\tilde{\Pi}(\rho)_I$ as a continuous representation of $B^-(\mathbb{Q}_p)G_{I^\vee}(\mathbb{Q}_p)$ by inflation. The representation $\Pi(\rho)_I$ of $G(\mathbb{Q}_p)$ is admissible and continuous.

We now use an argument similar to the one of Breuil-Herzig appearing before Lemma 3.3.5 in \cite{BH} to construct a direct limit. Following the proof of Proposition 3.4.2 of \cite{Em2} we have natural injections of $\Pi_\beta(\chi_\rho |_{T_\beta(\mathbb{Q}_p)})$ into $\mathcal{E}_\beta$. Indeed, Proposition 3.2.4 of \cite{Em2} gives us a natural embedding $\chi_\rho |_{T_\beta(\mathbb{Q}_p)} \hookrightarrow \text{Ord}(\mathcal{E}_\beta)$, where we have denoted by $\text{Ord}$ the ordinary part functor of Emerton. By adjointness property of $\text{Ord}$ this gives us a $GL_2(\mathbb{Q}_p)$-equivariant injection $\Pi_\beta(\chi_\rho |_{T_\beta(\mathbb{Q}_p)}) \hookrightarrow \mathcal{E}_\beta$. We remark that those injections will be functorial because of Proposition 3.2.4 of \cite{Em2} and because we have fixed a quasi-inverse $\text{MF}^{-1}$. 


By Theorem 4.4.6 and Corollary 4.3.5 of [Em3], we have for $I' \subset I$

$$\text{Hom}_{G(\mathbb{Q}_p)}(\Pi(\rho)_{I'}, \Pi(\rho)_I) \simeq \text{Hom}_{G(\mathbb{Q}_p)}\left(\left(\text{Ind}_{G_i}(\mathbb{Q}_p)G_j(\mathbb{Q}_p)G_k(\mathbb{Q}_p)G_l(\mathbb{Q}_p)\right)\tilde{\Pi}(\rho)_{I'}, \tilde{\Pi}(\rho)_I\right)$$

Observe that our injections

$$\Pi_\beta(\chi_{T(\mathbb{Q}_p)}) \hookrightarrow \xi_\beta$$

invoked above induce an injection

$$\text{Ind}_{G_i}(\mathbb{Q}_p)G_j(\mathbb{Q}_p)(\tilde{\Pi}(\rho)_{I'}G_k(\mathbb{Q}_p)G_l(\mathbb{Q}_p)) \rightarrow \tilde{\Pi}(\rho)_I$$

and hence also a $G(\mathbb{Q}_p)$-equivariant injection

$$\Pi(\rho)_{I'} \hookrightarrow \Pi(\rho)_I$$

This actually gives a compatible system of injections, by which we mean that for any $I'' \subset I' \subset I$, the corresponding diagram of injections is commutative. We then define an admissible continuous representation of $G(\mathbb{Q}_p)$ over $A$ by

$$\Pi(\rho)_{ord} = \lim_{\longrightarrow} \Pi(\rho)_I$$

where $I$ runs over subsets of $S^\lor$ of pairwise orthogonal roots.

4.3 - Compatibility with the construction of Breuil-Herzig

We study in this section how $\Pi(\rho)^{ord}$ behaves with respect to reduction modulo prime ideals in $A$. Recall that $G$ and its dual are split, hence we can canonically identify $R^\lor(A)$ and $R^\lor(A/p)$ for any prime ideal $p$ of $A$.

**Lemma 4.4.** Let $A \to A'$ be a morphism of complete local $\mathcal{O}$-algebras and let $\rho$ be triangular over $A$ with $\rho$ generic. Then

$$\Pi(\rho \otimes_A A')_I \simeq \Pi(\rho)_I \otimes_A A'$$

for any subset $I \subset S^\lor$ of pairwise orthogonal roots and

$$\Pi(\rho \otimes_A A')^{ord} \simeq \Pi(\rho)^{ord} \otimes_A A'$$

**Proof.** Observe that $\rho \otimes_A A'$ is triangular because $\rho$ is. By the definition of $\Pi(\rho)_I$, it is enough to check, that the construction of $\Pi(\rho)_I$ we have given above is compatible with the base change $A \to A'$ (because the parabolic induction is compatible with the base change $A \to A'$ by the main result of [HSS]). This follows from the fact that the $p$-adic local Langlands correspondence for $\text{GL}_2(\mathbb{Q}_p)$ is compatible with the base change $A \to A'$ (cf. [Pas] where functorial properties of the correspondence are explained).
To put more content into this lemma let us specialize to the totally indecomposable case.

**Definition 4.5.** We say that $\rho$ is **totally indecomposable** if $C_\rho = R^+\vee$ is minimal among all conjugates of $\rho$ by $B$ (equivalently, $C_{b\rho b^{-1}} = R^+\vee$ for all $b \in B$).

We prove now that for $GL_n$ we retrieve the construction of Breuil-Herzig after reducing modulo $p$. Before continuing, we shall give another characterisation of totally indecomposable representations valid for $G = GL_n$.

**Lemma 4.6.** Let $\rho : Gal(\bar{Q}_p/Q_p) \to GL_n(A)$ be a triangular representation and $A$ be a field. The following conditions are equivalent:

1. All semi-simple subquotients of $\rho$ are simple (equivalently, the graded pieces of the filtration by the socle are irreducible).

2. $B$ is the unique Borel that contains the image of $\rho$ (equivalently, the image of $\rho$ fixes a unique Borel $B$ (flag)). Here $B$ is the Borel we have fixed before in the definition of being triangular.

3. $\rho$ is totally indecomposable.

**Proof.** (1. $\Leftrightarrow$ 2.) If there exists $soc_{j+1}/soc_j$ which is not irreducible then we can construct two distinct flags which are stable by the image of $\rho$. On the other hand, if there exists two distinct flags fixed by the image of $\rho$, say

$$V_1 \subset V_2 \subset \ldots \subset V_n$$

and

$$V'_1 \subset V'_2 \subset \ldots \subset V'_n$$

and let $j$ be the smallest index such that $V_j \neq V'_j$. Then $(V_j + V'_j)/V_{j-1}$ is of dimension 2 and semi-simple, hence $\rho$ is not totally indecomposable.

(2. $\Leftrightarrow$ 3.) Suppose that $\rho$ stabilizes another Borel $B'$ (apart from $B$). Let $b \in B$ be an element which conjugates $B'$ into a Borel containing the maximal torus $T$. This Borel $bB'b^{-1}$ is of the form $w(B)$ for some $w$ in the Weyl group. Hence we see that $C_{b\rho b^{-1}}$ is contained in the intersection of $R^+\vee$ and $w(R^+\vee)$, and in particular is different from $R^+\vee$.

If $C_\rho$ is different from $R^+\vee$, then there exists a positive simple root $\alpha$ which does not belong to $C_\rho$. It follows that $s_\alpha(C_\rho)$ is contained in $R^+\vee$ and hence the image of $\rho$ is contained in $s_\alpha(B)$. \hfill $\Box$

**Lemma 4.7.** Let $\rho : Gal(\bar{Q}_p/Q_p) \to GL_n(O)$ be a triangular representation such that $\bar{\rho}$ is triangular, generic and totally indecomposable. Then $\rho_E = \rho \otimes_O E$ is also totally indecomposable and generic.
Proof. The statement about genericity of $\rho_E$ is clear. Let us prove that it is totally indecomposable. Let us denote by $\bar{\chi}_j$ characters appearing on the diagonal of $\bar{\rho}$ which we have supposed to be pairwise distinct hence linearly independent. Let $B$ be a Borel in $GL_n(E)$ containing the image of $\rho$. It corresponds to a flag 

$$V_1 \subset V_2 \subset \ldots \subset V_n = E^n.$$ 

By intersection with $O^n$ we obtain a flag 

$$\omega_1 \subset \omega_2 \subset \ldots \subset \omega_n = O^n$$ 

of $O^n$ stable by the image of $\rho$ which reduces to the standard flag modulo $m$ by the hypothesis that $\bar{\rho}$ is totally indecomposable. In particular, we see that $G$ acts on $V_i/V_{i-1}$ by a character $\chi_i$ which lifts the character $\bar{\chi}_i$. By genericity of $\bar{\rho}$, the characters $\chi_i$ are mutually distinct and each appears in the semi-simplification of $\rho$ with multiplicity 1.

Suppose now that we have another Borel $B'$ different from $B$ and stable by the image of $\rho$ with the associated flag 

$$V'_1 \subset V'_2 \subset \ldots \subset V'_n.$$

Let $i$ be the smallest index $i$ such that $V'_i \neq V_i$. Then $G$ acts on $V_i/V_{i-1}$ by a character $\chi_i$ which contradicts the fact that $\chi_i$ appears with multiplicity 1. Hence $B' = B$ and we see that $\rho$ is totally indecomposable by Lemma 4.6.

Proposition 4.8. Suppose that $\bar{\rho}$ is generic, triangular and totally indecomposable and $\rho$ is triangular. Then for any morphism $A \to E'$ (where $E'$ is a finite extension of $E$), the $E'$-Banach representation $\Pi(\rho)^{\text{ord}} \otimes_A E'$ is the representation $\Pi(\rho \otimes_A E')^{\text{ord}}$ of Breuil and Herzig.

Proof. By Lemma 4.4 we can suppose that $A = O_{E'}$. Observe that $\rho_{E'} = \rho \otimes_{O_{E'}} E'$ is generic and totally indecomposable by Lemma 4.7. To finish the proof we have to show that $\rho_{E'}$ is a good conjugate of itself (Definition 3.2.4 in [BH]). This follows from (3) of Lemma 4.6 and we conclude by Lemma 3.3.5 of [BH].

4.4 - Universal ordinary modular representation

In this subsection we will apply the formalism developed above to a particular example. We consider triangular deformations of modular representations and our goal is to define $\Pi(\rho_{m,w})^{\text{ord}}$, where $\rho_{m,w}$ is a certain universal modular Galois representation at a place $w|p$.

We take up the setting of Section 2. For each place $w|p$ of $F^+$ we choose a place $\tilde{w}$ of $F$, so as to get an identification 

$$G(Q_p) \simeq \prod_{w|p} GL_n(F_{\tilde{w}}) = GL_n(Q_p)^f$$
where \( f = [F^+ : \mathbb{Q}] \). We denote by \( B \) the upper triangular Borel subgroup and we have \( B \cong B_n(\mathbb{Q}_p) \).

We will now define a certain quotient \( T(K^p)^{\text{ord}} \) of \( T(K^p) \). There are two equivalent approaches for this.

Firstly, we may follow Geraghty who introduced in 2.4 \([\text{Ge}]\) a certain direct factor \( T(K^pK_p(n))^{\text{ord}} \) of \( T(K^pK_p(n)) \) where \( K_p(n) \) denotes the group of matrices in \( \text{GL}_n(\mathbb{Z}_p) \) that reduce to a unipotent upper-triangular matrix mod \( p^\infty \).

More precisely, in loc. cit is defined the algebra \( T_\lambda^{\text{ord}}(U(l^{n,n}), \mathcal{O}) \). There, \( \lambda \) is a dominant weight for \( G \) (but we take \( \lambda = 0 \) in this case), \( U(l^{n,n}) \) is our \( K^pK_p(n) \) (our \( p \) is denoted by \( l \)), \( T \) is our \( \Sigma \). Beware that Geraghty’s algebra contains diamond operators at places above \( p \) (his \( l \)), in contrast with ours. So our \( T(K^pK_p(n))^{\text{ord}} \) is the image of \( T(K^p) \) in Geraghty’s \( T_0^{\text{ord}}(U(l^{n,n}), \mathcal{O}) \). When \( n \) varies, these constructions are compatible and we may take the projective limit \( \lim_{\text{proj}} T_0^{\text{ord}}(U(l^{\infty}), \mathcal{O}) \). We get a quotient \( T(K^p)^{\text{ord}} \) as the image of the natural map \( T(K^p) \to T_0^{\text{ord}}(U(l^{\infty}), \mathcal{O}) \) in Geraghty’s notation on p.14 of loc. cit.

Alternatively, we may use Emerton’s ordinary part functor and define

\[
T(K^p)^{\text{ord}} := \text{image of } T(K^p) \text{ in } \text{End}_\mathcal{O}(\text{Ord}_B(\hat{H}^0(K^p)))
\]

Note that \( \text{Ord}_B(\hat{H}^0(K^p)) \) is a continuous representation of \( T(\mathbb{Q}_p) \) over \( T(K^p) \) and in particular is a \( T(K^p)[[T(\mathbb{Z}_p)]] \)-module. Then Geraghty’s algebra can be identified with the image of \( T(K^p)[[T(\mathbb{Z}_p)]] \) in \( \text{End}_\mathcal{O}(\text{Ord}_B(\hat{H}^0(K^p))) \) (compare with 5.6 of \([\text{Em2}]\)).

Let now \( m \) be a maximal ideal of \( T(K^p) \) as in Section 2. We say that \( m \) is \textbf{ordinary} if it comes from a maximal ideal of \( T(K^p)^{\text{ord}} \). The quotient map \( T(K^p)_m \to T(K^p)^{\text{ord}}_m \) is a surjection.

Let us fix an ordinary non-Eisenstein maximal ideal \( m \) of \( T(K^p) \). Recall that we have defined \( \rho_m \) and \( \rho_m \) in Section 2. For any prime ideal \( p \) in \( T(K^p)^{\text{ord}}_m \) coming from the maximal spectrum \( \text{Spm}(T(K^p)^{\text{ord}}_m[1/p]) \), we will write

\[
\rho_p := \rho_m \otimes_{T(K^p)^{\text{ord}}_m} T(K^p)^{\text{ord}}_m / p[1/p]
\]

which is a continuous Galois representation over a finite extension of \( \mathbb{Q}_p \). We will need the following result of Geraghty:

\begin{proposition}
Consider the set \( P^{\text{cris}}_{\text{aut}} \) of maximal ideals in \( T(K^p)^{\text{ord}}_m[1/p] \) such that \( H^0(K^pK_0(0), \mathcal{N}_W)[p] \) is non-zero for some irreducible algebraic representation \( W \) of \( G \). Then:
\begin{itemize}
  \item This set is Zariski dense in \( \text{Spec}(T(K^p)^{\text{ord}}_m[1/p]) \).
  \item For any \( p \) in \( P^{\text{cris}}_{\text{aut}} \), the representation \( \rho_p \) is triangularisable (and crystalline) at each place dividing \( p \).
\end{itemize}
\end{proposition}
Here, by **triangularisable**, we mean a representation conjugate to an (upper) triangular representation.

**Proof.** The second point follows from Corollary 2.7.8 of [Ge]. The first point is the density of cristalline points which is proved in Corollary 4 of [So], or can be deduced from the density result of Hida used by Geraghty in the proof of Corollary 3.1.4 in [Ge].

As a consequence of this proposition, the residual representation $\bar{\rho}_{m,w}$ is triangularisable for each $w|p$.

We now assume further that $\bar{\rho}_{m,w}$ is totally indecomposable and generic for each $w|p$. Note that generic was only defined for triangular representations. However the definition extends unambiguously to triangularisable representations, provided they are totally indecomposable, because such representations factor through a unique Borel subgroup.

In what follows we will write $T_{\text{ord}}^m$ for $T(K^p)^{\text{ord}}_m$. This should cause no confusion.

Our goal is to define $\Pi(\rho_{m,w})^{\text{ord}}$ where $\rho_{m,w}$ is the restriction of $\rho_m$ to the decomposition group $G_{F_w} = \text{Gal}(\bar{F}_w/F_w)$ for any place $w|p$ of $F$. In order to do so, we need to prove that $\rho_{m,w}$ is triangularisable. We basically do so, but not over $T_{\text{ord}}^m$ but rather over a bigger $\mathcal{O}$-algebra $T'_{\text{ord}}$. This is sufficient for our applications.

Following Geraghty (Section 3.1 of [Ge]) we introduce a subfunctor $G$ of $\text{Spec} T_{\text{ord}}^{m} \times \mathcal{F}$ defined on $A$-points as the set of $\mathcal{O}$-homomorphisms $T_{\text{ord}}^m \to A$ and filtrations $\text{Fil} \in \mathcal{F}(A)$ ($\mathcal{F}$ is the flag variety) preserved by the induced representation $\rho_{A,w}$. In fact, Geraghty defined this functor over a universal ring $R$, but we shall need it only over the Hecke algebra.

This functor is representable by a closed subscheme $\mathcal{G}$ of $\text{Spec} T_{\text{ord}}^{m} \times \mathcal{F}$ (Lemma 3.1.2 in [Ge]). We consider the resulting morphism $f : \mathcal{G} \to \text{Spec} T_{\text{ord}}^{m}$.

**Proposition 4.10.** The morphism $f : \mathcal{G} \to \text{Spec} T_{\text{ord}}^{m}$ is proper with geometric fibres of cardinality one.

**Proof.** The properness of $f$ follows from that of the flag variety (cf. the proof of Lemma 3.1.3 in [Ge]). Let us now prove that each geometric fibre is of cardinality one. Let us denote by $\bar{\chi}_{1,w}, \bar{\chi}_{2,w}, \ldots, \bar{\chi}_{n,w}$ characters of $G_{F_w}$ appearing on the diagonal of $\rho_{m,w}$. Firstly, we remark that geometric fibres are non-empty. Indeed, $f$ is dominant by Proposition 4.9 hence surjective since it is proper. On the other hand, there is at most one filtration $\text{Fil}$ over each geometric point, because $\rho_{m,w}$ is generic and totally indecomposable because each $j$-th graded piece $gr_j = \text{Fil}_j/\text{Fil}_{j-1}$ has to be a lifting of $\bar{\chi}_{j,w}$ (see proofs of Lemma 4.6 and Lemma 4.7). This allows us to conclude.

By Proposition above and Zariski Main Theorem we conclude that $f$ is finite and hence $\mathcal{G} = \text{Spec} T_{\text{ord}}^{m,w}$ for some $\mathcal{O}$-algebra $T'_{\text{ord}}$ finite over $T_{\text{ord}}$. 


COROLLARY 4.11. The morphism \( f : \text{Spec} \mathcal{T}_{m,w} \to \text{Spec} \mathcal{T}_{m,w}^{\text{ord}} \) is a homeomorphism which induces an isomorphism of residual fields at each prime \( p' \in \text{Spec} \mathcal{T}_{m,w}^{\text{ord}} \) with perfect residual field.

PROOF. It follows from the fact that geometric fibres of \( f \) are of cardinality one.

We define \( \mathcal{T}_{m}^{\prime} \) to be the tensor product over \( \mathcal{T}_{m}^{\text{ord}} \) of \( \mathcal{T}_{m,w}^{\prime} \) for all \( w \mid p \). This is still an \( \mathcal{O} \)-algebra finite over \( \mathcal{T}_{m}^{\text{ord}} \) with \( \text{Spec} \mathcal{T}_{m}^{\prime} \) homeomorphic to \( \text{Spec} \mathcal{T}_{m}^{\text{ord}} \).

Consider the base-change of \( \rho_{m} \) to \( \mathcal{T}_{m}^{\prime} \), that is \( \rho_{m}^{\prime} : G_{F} \to \text{GL}_{n}(\mathcal{T}_{m}^{\prime}) \). By the definition of \( \mathcal{T}_{m}^{\prime} \), \( \rho_{m,w}^{\prime} \) can be conjugated to a triangular representation \( \rho_{m,w}^{\prime\prime} \) for each \( w \mid p \), which is also generic and totally indecomposable at each \( w \mid p \), because \( \bar{\rho}_{m,w} \) is by our assumption. By Corollary above, for each prime ideal \( p \subset \mathcal{T}_{m} \) associated to an automorphic representation \( \pi \) on \( G(A) \), there exists a unique \( p' \) in \( \mathcal{T}_{m}^{\prime} \) such that \( \rho_{m,w}^{\prime}/p' \rho_{m,w}^{\prime}[1/p] \simeq \rho_{m,w}^{\prime}/p \rho_{m,w}[1/p] \).

The above discussion leads us to the following definition (which is independent of the choices, see below)

\[ \Pi(\rho_{m,w})^{\text{ord}} := \Pi(\rho_{m,w}^{\prime\prime})^{\text{ord}} \]

and similarly

\[ \Pi(\rho_{m,w})_{I} := \Pi(\rho_{m,w}^{\prime\prime})_{I} \]

for any \( I \), in particular for \( I = \emptyset \) which we shall use below. These are representations over \( \mathcal{T}_{m}^{\prime} \). To conclude using our preceding results that the reduction modulo prime ideals (\( \mathcal{O} \)-points) of \( \Pi(\rho_{m,w})^{\text{ord}} \) is well-behaved and compatible with the construction of Breuil-Herzig we need the following fact.

LEMMA 4.12. For each prime ideal \( p \) of \( \mathcal{T}_{m}^{\prime} \) which comes from a maximal ideal of \( \mathcal{T}_{m}[1/p] \), the representation \( \Pi(\rho_{m,w}^{\prime\prime})^{\text{ord}}/p[1/p] \) does not depend on the chosen triangulation \( \rho_{m,w}^{\prime\prime} \) of \( \rho_{m,w}^{\prime} \) (where by triangulation of \( \rho_{m,w}^{\prime} \) we mean a triangular representation which can be conjugated to \( \rho_{m,w}^{\prime\prime} \)).

PROOF. By Proposition 4.8 we deal with the construction of Breuil-Herzig and hence we can use facts from [BH]. We have to prove that for any triangulation \( \rho_{m,w}^{\prime\prime} \) the reduction \( \rho_{m,w}^{\prime\prime}/p \) is a good conjugate of \( \rho_{m,w}/p \) (Definition 3.2.4 in [BH]). This would give our claim by Lemma 3.3.5 of [BH]. By our assumption that \( \bar{\rho}_{m,w} \) is generic triangular and totally indecomposable, any triangular lift \( \rho \) of \( \bar{\rho}_{m,w} \) is totally indecomposable and generic by Lemma 4.7. Then we conclude by (3) of Lemma 4.6 that each triangulation of \( \rho_{m,w}^{\prime}/p \) (in particular \( \rho_{m,w}^{\prime\prime}/p \)) is a good conjugate of \( \rho_{m,w}^{\prime}/p \).

Thus we can define

\[ \Pi(\rho_{m,w}^{\prime})^{\text{ord}} := \Pi(\rho_{m,w}^{\prime\prime})^{\text{ord}} \]

where \( \rho_{m,w}^{\prime} \) is any triangulation of \( \rho_{m,w}^{\prime} \).

We summarize our efforts so far in the following theorem.
Theorem 4.13. Let \( m \) be an ordinary non-Eisenstein ideal of \( T \) such that \( \bar{\rho}_{m,w} \) is totally indecomposable and generic for each \( w \mid p \) in \( F \). Then we have for any prime ideal \( p' \) of \( T_m' \) (with the inverse image \( p \) in \( T_{m'} \)) which comes from a maximal ideal of \( T_m[1/p] \):

\[
\Pi(\rho_{m,u}' \mid p') \Pi(\rho_{m,u}/p\rho_{m,u}[1/p])^{ord} \cong \Pi(\rho_{m,u}/p\rho_{m,u}[1/p])^{ord}
\]

Similar compatibilities with reduction modulo prime ideals hold for \( \Pi(\rho_{m,u}) \).

4.5 On the pro-modular Fontaine-Mazur conjecture

We come back to our general formalism which we will apply to \( \Pi(\rho_{m,u}) \). We assume that \( m \) is a non-Eisenstein ordinary ideal of \( T \) such that \( \bar{\rho}_{m,w} \) is triangular, generic and totally indecomposable for each \( w \mid p \). We take \( T_m'' \) to be \( T_m'' \) from preceding sections. We start with two lemmas:

**Lemma 4.14.** Let \( \psi_1, \psi_2 : \text{Gal}(\mathbb{Q}_p/\mathbb{Q}_p) \to E \) be two de Rham characters such that \( \psi_1 \psi_2^{-1} \notin \{1, e, e^{-1}\} \) and let \( 0 \to \psi_1 \to V \to \psi_2 \to 0 \) be the non-split extension (there is a unique one; see below). Suppose that \( V \) is de Rham. Then \( HT(\psi_1) < HT(\psi_2) \) (normalizing \( HT(e) = -1 \)).

**Proof.** The fact that there is a unique extension of \( \psi_1 \) by \( \psi_2 \) follows from the fact that \( H^1 = H^1(\text{Gal}(\mathbb{Q}_p/\mathbb{Q}_p), \psi_1 \psi_2^{-1}) \) is of dimension 1 because \( \psi_1 \psi_2^{-1} \) is generic. Observe that \( V \in H^1 \). One can define the Selmer group \( H^1_g = H^1(\text{Gal}(\mathbb{Q}_p/\mathbb{Q}_p), \psi_1 \psi_2^{-1}) \) which measures whether \( V \) is de Rham (we refer the reader to Chapter II of [Ber]; Definition is given before Proposition 2.17). By Corollary 2.18 of [Ber] we see that \( V \in H^1_g \). Hence \( H^1_g \) is of dimension one. But Proposition 2.19 of [Ber] gives us a formula for the dimension of \( H^1_g \), by which we infer in our case that \( \dim H^1_g = 1 \) is equal to the number of negative Hodge-Tate numbers (compare with the discussion after Proposition 2.19 in [Ber]). Hence \( HT(\psi_1) < HT(\psi_2) \).

Recall that we have defined the character \( \theta \) in Section 4.1. For \( GL_n \) this character is simply \( \text{diag}(z_1, \ldots, z_n) \mapsto \prod_i z_i^{1-i} \).

**Lemma 4.15.** Let \( \rho : \text{Gal}(\mathbb{Q}_p/\mathbb{Q}_p) \to GL_n(E) \) be a de Rham, triangular, totally indecomposable, generic Galois representation. Then the character \( \chi_{\rho} \cdot (e^{-1} \circ \theta) \) is locally algebraic dominant.

**Proof.** Triangularity permits us to define \( \chi_{\rho} \). It is clear that the character is locally algebraic because \( \rho \) is de Rham. We conclude that \( \chi_{\rho} \cdot (e^{-1} \circ \theta) \) is dominant by applying the lemma above to each pair of consecutive characters on the diagonal of \( \rho \) (which we can do because \( \rho \) is totally indecomposable and generic).

We can now check that for representations \( \Pi(\rho_{m,u}) \) hypothesis (H1) holds:
(H1): We have to check that if \( p \) is a prime ideal of \( \mathbb{T}_m \) corresponding to the Galois representation \( \rho_p \) which is de Rham and regular at all places \( w|p \), then locally algebraic vectors in \( \Pi(\rho_{p,w})_q/\mathfrak{p}[1/p] = \Pi(\rho_{p,w})_q[1/p] \) are non-zero. Indeed, the locally algebraic vectors in \( \Pi(\rho_{p,w})_q[1/p] \) are non-zero because it is the representation induced from the locally algebraic domain character \( \chi = \chi_{\rho_p} \otimes (\varepsilon^{-1} \circ \theta) \) (by Lemma 4.15); to see it we write \( \chi = \chi_{\text{sm}} \delta_W \) for this character, where \( \chi_{\text{sm}} \) is smooth and \( \delta_W \) is algebraic corresponding to an irreducible algebraic representation \( W \) of \( G(\mathbb{Q}_p) \). We have \( W = \text{Ind}_{B^-((\mathbb{Q}_p)^{1})}^{G((\mathbb{Q}_p))} \delta_W \). Then the universal completion of the locally algebraic representation \( \text{Ind}_{B^-((\mathbb{Q}_p)^{1})}^{G((\mathbb{Q}_p))} \chi_{\text{sm}} \otimes W \) is equal to \( \text{Ind}_{B^-((\mathbb{Q}_p))}^{G((\mathbb{Q}_p))} \chi_{\text{sm}}^{\text{alg}} = \Pi(\rho_{p,w},p[1/p]) \) because \( \chi \) is unitary (we inject the locally algebraic induction into the continuous induction by sending \( f_{\text{sm}} \otimes f_{\text{alg}} \) to \( f_{\text{sm}} \cdot f_{\text{alg}} \), where \( f_{\text{sm}},f_{\text{alg}} \) are functions on smooth, respectively algebraic part). This is clear from the definition of the universal completion and the fact that \( \text{Ind}_{B^-((\mathbb{Q}_p))}^{G((\mathbb{Q}_p))} \chi \) is a locally algebraic vector in \( \Pi(\rho_{p,w})_q[1/p] \) is non-empty. The fact that \( \Pi(\rho_{p,w})_q[p] \) is of finite length is clear from the definition.

For \( p \in P_{\text{cris}} \) as in Proposition 4.9, we know that \( \rho_{p,w} \) is crystalline triangularisable. Our hypothesis on \( p_{\text{cris}} \) implies that \( p_{\text{cris}} \) is totally indecomposable (Lemma 4.7) and generic. So we may unambiguously associate to it a character \( \chi_{\rho_{p,w}} \) of \( T_n((\mathbb{Q}_p)^{1}) \).

Let us recall a classical local-global compatibility result.

**Lemma 4.16.** Fix \( p \in P_{\text{cris}} \). Let \( W \) be the irreducible algebraic representation of \( G((\mathbb{Q}_p)) \) such that \( H^0(K_p,\mathcal{V}_W)[p] \not= 0 \). Let \( \pi \) be an automorphic representation such that \( \pi^K \subset H^0(K_p,\mathcal{V}_W)[p] \). Then

\[
W^\vee = \otimes_{w|p} \text{Ind}_{B^-((\mathbb{Q}_p))}^{G((\mathbb{Q}_p))} (\chi_{\rho_{p,w}} \cdot (\varepsilon^{-1} \circ \theta))_{\text{alg}}^{\text{alg}}
\]

\[
\pi_p = \otimes_{w|p} \text{Ind}_{B^-((\mathbb{Q}_p))}^{G((\mathbb{Q}_p))} (\chi_{\rho_{p,w}} \cdot (\varepsilon^{-1} \circ \theta))_{\text{sm}}^{\text{sm}}
\]

where we have denoted by \((\cdot)_{\text{sm}} \) (respectively, \((\cdot)_{\text{alg}} \)) the smooth (resp. algebraic) part of the character.

**Proof.** The first isomorphism follows from Corollary 2.7.8(i) of [Ge] with the following dictionary:

- our \( W \) is Geraghty’s \( M_\lambda \), therefore \( W^\vee = \text{Ind}_{B^-((\mathbb{Q}_p))}^{G((\mathbb{Q}_p))} (\lambda)_{\text{alg}} \)
- his \( \lambda = (\lambda_{p,F_{\text{alg}}})_w = (\lambda_{w})_{w|p} \) since \( p \) was assumed to be totally split in \( F \).
- for each \( w|p \), loc. cit. tells us that \( (\chi_{\rho_{p,w}})_{\text{alg}} = (\lambda_{w})^{-1} \cdot \theta \)

The second isomorphism follows from Corollary 2.7.8(ii) of [Ge] and the first formula on p. 27 of [Ge] (proof of Lemma 2.7.5). Namely, loc. cit. tells us
that \( \pi_w \) is the unramified subquotient of \( (n - \text{Ind}_{B(Q_p)}^{GL_n(Q)}(\chi_{\rho_w})_{sm}) \otimes |\det|^{(n-1)/2} \) (normalized induction). But the genericity of \( \rho_{p,w} \) implies that

\[
\pi_w = (n - \text{Ind}_{B(Q_p)}^{GL_n(Q)}(\chi_{\rho_w})_{sm}) \otimes |\det|^{(n-1)/2}
\]

and smooth representation theory tells us that this is also

\[
(n - \text{Ind}_{B^{-}(Q_p)}^{GL_n(Q)}(\chi_{\rho_w})_{sm}) \otimes |\det|^{(n-1)/2} = (\text{Ind}_{B^{-}(Q_p)}^{GL_n(Q)}(\chi_{\rho_w})_{sm} \delta_B^{-1/2}) \otimes |\det|^{(n-1)/2}
\]

where \( \delta_B \) is the modulus character. We conclude by observing that

\[
\delta_B^{-1/2} \cdot |\det|^{(n-1)/2} = (\varepsilon^{-1} \circ \theta)_{sm} : (z_1, ..., z_n) \mapsto \prod |z_i|^{i-1}
\]

Using \( \Pi(\rho_{p,w})_q \) we can make use of our formalism (Theorem 3.4) to get the pro-modular Fontaine-Mazur conjecture in the following form.

**Theorem 4.17.** Let \( \mathfrak{m} \) be an ordinary non-Eisenstein ideal of \( \mathbb{T} \) such that \( \rho_{p,w} \) is totally indecomposable and generic for all \( w \mid p \). Let \( \rho \) be pro-modular with respect to \( \mathfrak{T}_m^{\text{ord}} \), which is de Rham and has regular Hodge-Tate weights. Then \( \rho \) is modular.

**Proof.** To conclude by Theorem 3.4 we have to check that hypotheses (H2) and (H3) hold for \( \Pi(\rho_{p,w})_q \). The hypothesis (H2) says that there exists an allowable set for \( \Pi(\rho_{p,w})_q \), which means that there exists a dense set of prime ideals \( p \) in the Hecke algebra \( \mathcal{T}_m \) for which the associated Galois representation \( \rho_{p,w} \) is modular. We can prove it for the set \( \mathcal{T}_{\text{cris}} \) which is dense by Proposition 4.9. Indeed, if \( p \) corresponds to a classical automorphic representation \( \pi \) with the Galois representation \( \rho_{\pi} \). Following Lemma 4.16 we put \( \chi_w = \chi_{\rho_{p,w}} \cdot (\varepsilon^{-1} \circ \theta) \). Take \( \chi = \otimes_{w \mid p} \chi_w \) and write \( \chi = \chi_{sm} \delta_W \) as above in the verification of (H1). Then by the description of locally algebraic vectors of \( \tilde{H}^0(K_{E,l.alg}) \) from Proposition 2.3 we see that \( W^\vee \otimes (\text{Ind}_{B^{-}(Q_p)}^{GL_n(Q)}(\chi_{sm})_{sm}) \) injects into \( \tilde{H}^0(K_{E,l.alg}) \), (we use here Lemma 4.16). Hence taking the completion we see that the universal completion \( W^\vee \otimes (\text{Ind}_{B^{-}(Q_p)}^{GL_n(Q)}(\chi_{sm})_{sm}) \) of \( W^\vee \otimes (\text{Ind}_{B^{-}(Q_p)}^{G(Q_p)}(\chi_{sm})_{sm}) \) sits in \( \tilde{H}^0(K_{E}) \). But because \( \chi \) is unitary, we have

\[
W^\vee \otimes (\text{Ind}_{B^{-}(Q_p)}^{G(Q_p)}(\chi_{sm})_{sm}) = (\text{Ind}_{B^{-}(Q_p)}^{G(Q_p)}(\chi_{sm})_{sm})^c = \otimes_{w \mid p} \Pi(\rho_{p,w})_q
\]

by which we conclude.
Weak local-global compatibility

The hypothesis (H3)[p′] (p′ is the prime ideal of \( T_m \) corresponding to \( \rho \) by our pro-modularity assumption and Corollary 4.11) says that we have a closed injection

\[
\Pi(\rho_{p',w})_0 \hookrightarrow \hat{H}^0(K^p)_{E}[p']
\]

This is clear in our context because \( \rho_{p',w} \) is generic and hence \( \Pi(\rho_{p',w})_0 \) is topologically irreducible (see Theorem 3.1.1(ii) in [BH]).

This allows us to conclude.

We can get a more explicit result by using eigenvarieties. In [Em1] Emerton has constructed the eigenvariety \( \mathcal{X} \) associated to the group \( G \) using completed cohomology. We do not recall here this construction explicitly, but let us mention that \( \mathcal{X} \) parametrises (certain) pro-modular representations. Let \( \mathcal{X}[\rho_{m}^{\text{ord}}] \) be the \( \rho_{m} \)-part of the eigenvariety associated to \( U(n) \) parametrising ordinary points (i.e. points associated to ordinary p-adic automorphic forms). In particular every point \( x \in \mathcal{X}[\rho_{m}^{\text{ord}}] \) is pro-modular with respect to \( T_m^{\text{ord}} \). We denote by \( \lambda_x \) its corresponding Hecke character and by \( \rho_x \) its associated Galois representation.

The above theorem implies the following result

**Corollary 4.18.** Let \( m \) be an ordinary non-Eisenstein ideal of \( T \) such that \( \bar{\rho}_m,w \) is totally indecomposable and generic for all \( w \mid p \). Let \( x \) be an \( E \)-point on the eigenvariety \( \mathcal{X}[\bar{\rho}_m^{\text{ord}}](E) \) such that for each place \( w \mid p \) the representation \( \rho_{x,w} \) is regular and de Rham. Then \( x \) is classical.

**Proof.** Modularity is clear so we need only to comment upon the classicality of \( x \). It follows under our assumptions by Lemma 4.15 that \( \rho_{x,w} \) has dominant weights which is enough to conclude that \( x \) is classical as it was modular.

We obtain a similar result (i.e. pro-modularity with additional assumptions implies modularity) for \( U(2) \) in [CS] in the setting of irreducible Galois representations (rather than triangular).

**References**


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