

# SIGN-CHANGING SOLUTIONS OF BOUNDARY VALUE PROBLEMS FOR SEMILINEAR $\Delta_\gamma$ -LAPLACE EQUATIONS

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ABSTRACT. In this article, we study the existence of multiple solutions for the boundary value problem

$$\begin{aligned} -G_\alpha u &= g(x, y, u) + f(x, y, u) && \text{in } \Omega, \\ u &= 0 && \text{on } \partial\Omega, \end{aligned}$$

where  $\Omega$  is a bounded domain with smooth boundary in  $\mathbb{R}^N$  ( $N \geq 2$ ),  $\alpha \in \mathbb{N}$ ,  $g(x, y, \xi)$ ,  $f(x, y, \xi)$  are Carathéodory functions and  $G_\alpha$  is the Grushin operator. We use the lower bounds of eigenvalues and an abstract theory on sign-changing solutions.

## 1. INTRODUCTION

Boundary value problems for semilinear elliptic equations were studied in [1, 27] (see also the references therein). Many publications [4–8, 10–12, 18, 26, 29, 31] are devoted to the study of the existence of sign-changing solutions of classical elliptic boundary value problems such as

$$-\Delta u = f(x, u) \quad \text{in } \Omega, \quad u = 0 \quad \text{on } \partial\Omega,$$

where  $f \in C(\bar{\Omega} \times \mathbb{R}, \mathbb{R})$ ,  $\Omega \subset \mathbb{R}^N$  ( $N \geq 2$ ) is a bounded domain with smooth boundary  $\partial\Omega$ . There have been several methods developed in studying sign-changing solutions of nonlinear elliptic equations, such as the invariant sets of descending flow method developed by Liu and Sun [5, 18, 31], and the minimax method which is established by Berestycki and Lions in the classical paper [8].

One of the classes of degenerate elliptic equations that has been studied widely in recent years is the class of equations involving an operator of the Grushin type (see [14])

$$G_\alpha := \Delta_x + |x|^{2\alpha} \Delta_y, \quad \alpha \geq 0.$$

Note that  $G_0 \equiv \Delta$  is the Laplacian operator, and  $G_\alpha$ , when  $\alpha > 0$ , is not elliptic in domains intersecting the surface  $x = 0$ . Many aspects of the theory of degenerate elliptic differential operators are presented in monographs [36, 37] (see also some recent results in [2, 13, 17, 19–23, 25, 33–35]).

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In this paper, we consider the existence of sign-changing solutions of the Dirichlet boundary value problem

$$(1.1) \quad -G_\alpha u = g(x, y, u) + f(x, y, u) \quad \text{in } \Omega,$$

$$(1.2) \quad u = 0 \quad \text{on } \partial\Omega,$$

where  $\Omega$  is a bounded domain with smooth boundary in  $\mathbb{R}^{N_1} \times \mathbb{R}^{N_2} := \mathbb{R}^N$ ,  $N_1, N_2, \alpha \in \mathbb{N}$ ,  $\Omega \cap \{(x, y) \in \mathbb{R}^N : x = 0\} \neq \emptyset$ , and

$$\Delta_x := \sum_{i=1}^{N_1} \frac{\partial^2}{\partial x_i^2}, \Delta_y := \sum_{j=1}^{N_2} \frac{\partial^2}{\partial y_j^2}, |x|^{2\alpha} := \left( \sum_{i=1}^{N_1} x_i^2 \right)^\alpha,$$

and the nonlinearity  $f$  is a real Carathéodory function on  $\Omega \times \mathbf{R}$  and satisfies the following conditions

(A1) There exist  $p \in (2, 2_\alpha^*)$ , and constants  $C_1, C_2 > 0$  such that

$$|f(x, y, \xi)| \leq C_1 + C_2 |\xi|^{p-1} \quad \text{almost everywhere } (x, y, \xi) \in \Omega \times \mathbb{R},$$

where  $2_\alpha^* := \frac{2N_\alpha}{N_\alpha - 2}$ ,  $N_\alpha := N_1 + (1 + \alpha)N_2 > 2$ ;

(A2)  $f(x, y, \xi) = o(|\xi|)$ , uniformly in  $(x, y) \in \bar{\Omega}$ , as  $\xi \rightarrow 0$  and  $f(x, y, \xi)\xi \geq 0$  for all  $\xi \in \mathbb{R}$  and a.e.  $(x, y) \in \Omega$ ;

(A3) There exists a constant  $\mu > 2$  such that

$$0 \leq \mu F(x, y, \xi) \leq \xi f(x, y, \xi), \quad \forall (x, y) \in \bar{\Omega}, \xi \in \mathbb{R} \setminus \{0\},$$

where  $F(x, y, \xi) = \int_0^\xi f(x, y, \tau) d\tau$ ;

(A4)  $f(x, y, -\xi) = -f(x, y, \xi)$  for all  $(x, y, \xi) \in \bar{\Omega} \times \mathbb{R}$ ;

(A5)  $g : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  is a Carathéodory function. There exists  $\sigma < \frac{\mu}{2}$  such that

$$|g(x, y, \xi)| \leq C(1 + |\xi|^\sigma), \quad \text{for all } \xi \in \mathbb{R}, \text{ and a.e. } (x, y) \in \Omega.$$

Moreover,  $g(x, y, \xi) = o(|\xi|)$ , uniformly in  $(x, y) \in \bar{\Omega}$ , as  $\xi \rightarrow 0$  and  $g(x, y, \xi)\xi > 0$  for all  $\xi \in \mathbb{R} \setminus \{0\}$  and a.e.  $(x, y) \in \Omega$ .

Our main result is given by the following theorem.

**Theorem 1.1.** *Assume that  $f, g$  satisfies the conditions (A1)–(A5) and*

$$(1.3) \quad \frac{2p}{N_\alpha(p-2)} - 1 > \frac{\mu}{\mu - \sigma - 1}.$$

*Then the problem (1.1)–(1.2) has infinitely many sign-changing solutions.*

This article is organized as follows. In section 2, we present some definitions and preliminary results. Next, combining the lower bounds of eigenvalues and an abstract theory on sign-changing solutions, we give the proof of Theorem 1.1.

## 2. PRELIMINARY RESULTS

**Definition 2.1.** By  $S_1^2(\Omega)$  we will denote the set of all functions  $u \in L^2(\Omega)$  such that  $\frac{\partial u}{\partial x_i} \in L^2(\Omega), |x|^\alpha \frac{\partial u}{\partial y_j} \in L^2(\Omega), i = 1, 2, \dots, N_1, j = 1, 2, \dots, N_2$ . We define the norm in this space as follows

$$\|u\|_{S_1^2(\Omega)} = \left\{ \int_{\Omega} (|u|^2 + |\nabla_{\alpha} u|^2) dX \right\}^{\frac{1}{2}},$$

where

$$dX = dx_1 \dots dx_{N_1} dy_1 \dots dy_{N_2}, \nabla_{\alpha} u = \left( \frac{\partial u}{\partial x_1}, \dots, \frac{\partial u}{\partial x_{N_1}}, |x|^{\alpha} \frac{\partial u}{\partial y_1}, \dots, |x|^{\alpha} \frac{\partial u}{\partial y_{N_2}} \right).$$

We can also define the scalar product in  $S_1^2(\Omega)$  as follows

$$(u, v)_{S_1^2(\Omega)} = (u, v)_{L^2(\Omega)} + (\nabla_{\alpha} u, \nabla_{\alpha} v)_{L^2(\Omega)}.$$

The space  $S_{1,0}^2(\Omega)$  is defined as the closure of  $C_0^1(\Omega)$  in the space  $S_1^2(\Omega)$ .

The following embedding inequality was proved in [33, 37]

$$\left( \int_{\Omega} |u|^p dX \right)^{\frac{1}{p}} \leq C(p, \Omega) \|u\|_{S_{1,0}^2(\Omega)},$$

where  $1 \leq p \leq 2_{\alpha}^*$ ,  $C(p, \Omega) > 0$ . The number  $2_{\alpha}^*$  is the critical Sobolev exponent of the embedding  $S_{1,0}^2(\Omega) \hookrightarrow L^p(\Omega)$  and when  $1 \leq p < 2_{\alpha}^*$ , the embedding is compact.

**Definition 2.2.** Let  $\mathbb{V}$  be a real Banach space with its dual space  $\mathbb{V}^*$ ,  $\Phi \in C^1(\mathbb{V}, \mathbb{R})$ . We say that  $\Phi$  satisfies the Palais-Smale if for any sequence  $\{u_n\}_{n=1}^{n=+\infty} \subset \mathbb{V}$  such that  $\Phi(u_n)$  is bounded and

$$\|\Phi'(u_n)\|_{\mathbb{V}^*} \rightarrow 0 \text{ as } n \rightarrow \infty,$$

then there exists a subsequence  $\{u_{n_k}\}_{k=1}^{k=+\infty}$  that converges strongly in  $\mathbb{V}$ .

From Theorem A in [30], we have

**Proposition 2.3.** Let  $\mathbb{V}$  be a Hilbert space and  $\Phi \in C^1(\mathbb{V}, \mathbb{R})$  be of the form  $\Phi' = id - K_{\Phi}$  and satisfy the Palais-Smale condition, where  $K_{\Phi}$  is a continuous operator. Assume that  $K_{\Phi}(\pm \mathcal{D}_0) \subset \pm \mathcal{D}_0$  holds, where  $\mathcal{D}_0 = \{u \in \mathbb{V} : \text{dist}(u, \mathcal{P}) < \mu_0\}$  and  $\mathcal{P} := \{u \in \mathbb{V}, u(x) \geq 0, \text{ for a.e. } x \in \Omega\}$  is the positive cone of  $\mathbb{V}$ . Let  $N, M$  be two closed subspaces of  $\mathbb{V}$  with  $\dim N < \infty, \dim N - \text{codim} M \geq 1$ . Suppose that

$$Q(\rho) := \{u \in M : \|u\|_{\mathbb{V}} = \rho\} \subset \mathcal{S} := \mathbb{V} \setminus (-\mathcal{D}_0 \cup \mathcal{D}_0).$$

Define

$$N^* = N \oplus \text{span}\{u^*\} \quad u^* = \mathbb{V} \setminus N; \quad N_+^* = \{u + tu^* : u \in N, t \geq 0\}.$$

Assume that

- (i)  $\Phi(0) = 0$ ;
- (ii) there exists a  $R_1 > \rho$  such that  $\Phi(u) \leq 0$  for all  $u \in N$  with  $\|u\|_{\mathbb{V}} \geq R_1$ ;
- (iii) there exists a  $R_2 \geq R_1$  such that  $\Phi(u) \leq 0$  for all  $u \in N^*$  with  $\|u\|_{\mathbb{V}} \geq R_2$ ;

Let

$$\Gamma = \{\phi \in C(\mathbb{V}, \mathbb{V}) : \phi \text{ is odd, } \phi(-\mathcal{D} \cup \mathcal{D}) \subset (-\mathcal{D} \cup \mathcal{D}); \\ \phi(u) = u \text{ if } \max\{\Phi(u), \Phi(-u)\} \leq 0\}.$$

If

$$\gamma^* = \inf_{\phi \in \Gamma} \sup_{\phi(N_+^*) \cap \mathcal{S}} \Phi > \gamma^{**} = \inf_{\phi \in \Gamma} \sup_{\phi(N) \cap \mathcal{S}} \Phi > 0,$$

then  $\mathcal{K}[\gamma^{**}, m_0 + 1] \cap (\mathbb{V} \setminus (-\mathcal{P} \cup \mathcal{P})) \neq \emptyset$ , that is, there is a sign-changing critical point, where  $m_0 := \{\sup_{N^*} \Phi < \infty, \}$  and  $\mathcal{K}[\gamma^{**}, m_0 + 1]$  denotes the set of critical points with critical values in  $[\gamma^{**}, m_0 + 1]$ .

### 3. PROOF OF THE MAIN RESULT

Define the Euler–Lagrange functional associated with the problem (1.1)–(1.2) as follows

$$\Phi(u) := \frac{1}{2} \int_{\Omega} |\nabla_{\alpha} u|^2 dX - \int_{\Omega} F(x, y, u) dX,$$

and

$$\bar{\Phi}(u) := \frac{1}{2} \int_{\Omega} |\nabla_{\alpha} u|^2 dX - \int_{\Omega} F(x, y, u) dX - \int_{\Omega} G(x, y, u) dX = \Phi(u) - \int_{\Omega} G(x, y, u) dX.$$

From Lemma in [25] and  $f$  satisfies (A1),  $g$  satisfies (A4), hence  $\Phi, \bar{\Phi} \in C^1(S_{1,0}^2(\Omega), \mathbb{R})$  with

$$\langle \bar{\Phi}'(u), v \rangle = \int_{\Omega} \nabla_{\alpha} u \cdot \nabla_{\alpha} v dX - \int_{\Omega} f(x, y, u) v dX - \int_{\Omega} g(x, y, u) v dX$$

for all  $v \in S_{1,0}^2(\Omega)$ .

Recall that a function  $u \in S_{1,0}^2(\Omega)$  is called a weak solution of (1.1)–(1.2) if

$$\int_{\Omega} \nabla_{\gamma} u \cdot \nabla_{\gamma} v dX = \int_{\Omega} f(x, y, u) v dX + \int_{\Omega} g(x, y, u) v dX, \quad \forall v \in S_{1,0}^2(\Omega).$$

One can also check that the critical points of  $\bar{\Phi}$  are weak solutions of the problem (1.1)–(1.2).

From embedding theorems for weighted Sobolev spaces, it is not difficult to show that the Grushin type has discrete spectrum in  $S_{1,0}^2(\Omega)$ . Let  $\lambda_1, \lambda_2, \lambda_3, \dots$  be the eigenvalues of the problem

$$-G_{\alpha} u = \lambda u \quad \text{in } \Omega, \quad u = 0 \quad \text{on } \partial\Omega.$$

Then  $0 < \lambda_1 < \lambda_2 \leq \lambda_3 \leq \dots$ . Let  $\mathbf{X}_j$  be the eigenspace associated to  $\lambda_j$ . We set for  $k \geq 2$

$$\mathbf{Y}_k := \bigoplus_{j=1}^k \mathbf{X}_j \quad \text{and} \quad \mathbf{Z}_k = \overline{\bigoplus_{j=k}^{\infty} \mathbf{X}_j}.$$

Let

$$\mathcal{P} := \{u \in S_{1,0}^2(\Omega) : u(x, y) \geq 0 \text{ for a.e. } (x, y) \in \Omega\}$$

then  $\mathcal{P}(-\mathcal{P})$  is the positive (negative) cone of  $S_{1,0}^2(\Omega)$ . We are going to consider an approximation for  $S_{1,0}^2(\Omega) : \mathbf{Y}_1 \subset \mathbf{Y}_2 \subset \dots$  and  $\dim \mathbf{Y}_k < \infty$  for each  $k > 2$ , define

$$\Phi_k := \Phi|_{\mathbf{Y}_k} \quad \bar{\Phi}_k := \bar{\Phi}|_{\mathbf{Y}_k},$$

then  $\Phi_k, \bar{\Phi}_k \in C^1(\mathbf{Y}_k, \mathbb{R})$ .

**Lemma 3.1.** *Assume conditions (A1)-(A5) hold. Then  $\bar{\Phi}_k$  (and hence  $\Phi_k$ ) satisfies the (PS) condition.*

*Proof.* The proof of this lemma is similar to the one of Lemmas 5 in [33] (or see [25]). We omit the details.  $\square$

**Lemma 3.2.** *Under the assumptions of Theorem 1.1, there exist  $\rho_k > 0$  and  $C_2 > 0$  such that*

$$\bar{\Phi}(u) \geq C_2 \lambda_k^{\frac{2(p_0-p)}{(p-2)(p_0-2)}} := \delta_k, \text{ for } u \in \mathbf{Q}(\rho_k) := \{u \in \mathbf{Y}_{k-1}^\perp : \|u\|_{S_{1,0}^2(\Omega)} = \rho_k\},$$

where  $p < p_0 < 2_\alpha^*$ , and  $C_2$  is independent of  $k$ . Moreover,  $\rho_k \rightarrow \infty$  as  $k \rightarrow \infty$ .

*Proof.* By (A1)- (A5), for any  $\epsilon > 0$  small enough, there exists a  $C_\epsilon > 0$  such that

$$F(x, y, \xi) + G(x, y, \xi) \leq \epsilon |\xi|^2 + C_\epsilon |\xi|^p, \quad \text{for all } \xi \in \mathbb{R} \text{ and a.e. } (x, y) \in \Omega.$$

Applying Sobolev's embedding  $S_{1,0}^2(\Omega) \hookrightarrow L^{2_\alpha^*}(\Omega)$ , and using the interpolation inequality, for any  $u \in S_{1,0}^2(\Omega)$ , we obtain

$$\begin{aligned} \bar{\Phi}(u) &\geq \frac{1}{2} \int_{\Omega} |\nabla_\alpha u|^2 \, dX - \int_{\Omega} (\epsilon |u|^2 + C_\epsilon |u|^p) \, dX \\ &\geq \frac{1}{4} \|u\|_{S_{1,0}^2(\Omega)}^2 - C_1 \|u\|_{L^2(\Omega)}^r \|u\|_{L^{p_0}(\Omega)}^{p-r} \\ (3.1) \quad &\geq \frac{1}{4} \|u\|_{S_{1,0}^2(\Omega)}^2 - C_2 \|u\|_{L^2(\Omega)}^r \|u\|_{S_{1,0}^2(\Omega)}^{p-r}, \end{aligned}$$

where  $\frac{r}{2} + \frac{p-r}{p_0} = 1, p_0 \in (p, 2_\alpha^*)$ .

Moreover by  $u \in \mathbf{Y}_{k-1}^\perp$ , hence

$$(3.2) \quad \|u\|_{L^2(\Omega)} \leq \lambda_k^{-\frac{1}{2}} \|u\|_{S_{1,0}^2(\Omega)}.$$

Combining (3.1) and (3.2), for any  $u \in \mathbf{Y}_{k-1}^\perp$ ,  $\|u\|_{S_{1,0}^2(\Omega)} = \frac{\lambda_k^{\frac{r}{2(p-2)}}}{(2C_2p)^{\frac{1}{p-2}}} := \rho_k$ , we have

$$\bar{\Phi}(u) \geq C_2 \lambda_k^{\frac{2(p_0-p)}{(p-2)(p_0-2)}}.$$

□

For any  $m > k + 2$ , let  $\mathcal{P}_m := \mathcal{P} \cap \mathbf{Y}_m$  be the positive cone in  $\mathbf{Y}_m$  and

$$Q(\rho_k, m) := \{u \in \mathbf{Y}_{k-1}^\perp \cap \mathbf{Y}_m : \|u\|_{S_{1,0}^2(\Omega)} = \rho_k\}.$$

Since  $Q(\rho_k, m)$  is compact in  $\mathbf{Y}_m$  and includes only sign-changing elements, it is easy to check that

$$\text{dist}(Q(\rho_k, m), \pm\mathcal{P}_m) := d_m > 0.$$

For any  $\mu_m \in (0, \frac{d_m}{4})$ , define

$$\mathcal{D}_0(m, \mu_m) := \{u \in \mathbf{Y}_m : \text{dist}(u, \mathcal{P}_m) < \mu_m\},$$

then  $\mathcal{D}_0(m, \mu_m)$  is open and convex in  $\mathbf{Y}_m$ ,  $\pm\mathcal{P}_m \subset \pm\mathcal{D}_0(m, \mu_m)$  and

$$(3.3) \quad Q(\rho, m) \subset \mathcal{S}_m := \{\mathbf{Y}_m \setminus \mathcal{D}_m\}, \text{ where } \mathcal{D}_m := -\mathcal{D}_0(m, \mu_m) \cup \mathcal{D}_0(m, \mu_m).$$

Evidently, the gradient of  $\bar{\Phi}_m$  can be expressed as  $\bar{\Phi}' = \text{id} - \text{Proj}_m K_{\bar{\Phi}}$ , where  $K_{\bar{\Phi}} : S_{1,0}^2(\Omega) \rightarrow S_{1,0}^2(\Omega)$  is given by

$$K_{\bar{\Phi}}u = -G_\alpha^{-1}(f(\cdot, u(\cdot)) + g(\cdot, u(\cdot))) \text{ for all } u \in S_{1,0}^2(\Omega).$$

$\text{Proj}_m$  is the projection on  $\mathbf{Y}_m$  from  $S_{1,0}^2(\Omega)$  and

$$\langle K_{\bar{\Phi}}u, v \rangle := \int_{\mathbb{R}^N} (f(x, y, u) + g(x, y, u))v \, dX, \quad \forall u, v \in S_{1,0}^2(\Omega).$$

**Lemma 3.3.** *Assume conditions (A1)-(A3) and (A5) hold. Then there exists a  $\mu_m \in (0, d_m/4)$  such that  $\text{Proj}_m K_{\bar{\Phi}}(\pm\mathcal{D}_0(m, \mu_m)) \subset \pm\mathcal{D}_0(m, \mu_m)$  and  $\text{Proj}_m K_{\Phi}(\pm\mathcal{D}_0(m, \mu_m)) \subset \pm\mathcal{D}_0(m, \mu_m)$ .*

*Proof.* Write  $u^+ = \max\{u, 0\}$ ,  $u^- = \min\{u, 0\}$ . For any  $u \in \mathbf{Y}_m$ ,  $t \in [2, 2_\alpha^*)$ , there exists a  $C_t > 0$  such that

$$(3.4) \quad \|u^\pm\|_{L^t(\Omega)} = \min_{\omega \in \mp\mathcal{P}_m} \|u - \omega\|_{L^t(\Omega)} \leq C_t \min_{\omega \in \mp\mathcal{P}_m} \|u - \omega\|_{S_{1,0}^2(\Omega)} = C_t \text{dist}_{S_{1,0}^2(\Omega)}(u, \mp\mathcal{P}_m).$$

By assumptions (A1), (A2) and (A5), for any  $\epsilon > 0$  small enough, there exists a  $C_\epsilon > 0$  such that

$$(3.5) \quad f(x, y, \xi)\xi + g(x, y, \xi)\xi \leq \epsilon |\xi|^2 + C_\epsilon |\xi|^p, \text{ for all } \xi \in \mathbb{R} \text{ and a.e. } (x, y) \in \Omega.$$

Combining (3.4), (3.5) and  $f(x, y, \xi)\xi \geq 0, g(x, y, \xi)\xi \geq 0$  for all  $\xi \in \mathbb{R}$  and a.e.  $(x, y) \in \Omega$ , we have for  $\epsilon > 0$  small enough

$$\begin{aligned} \text{dist}_{S_{1,0}^2(\Omega)}(v, \mp \mathcal{P}_m) \|v^\pm\|_{S_{1,0}^2(\Omega)} &\leq \|v^\pm\|_{S_{1,0}^2(\Omega)}^2 = \langle v, v^\pm \rangle \\ &= \int_{\mathbb{R}^N} (|f(x, y, u^\pm)| + |g(x, y, u^\pm)|) |v^\pm| \, dX \\ &\leq \int_{\mathbb{R}^N} (\epsilon |u^\pm| + C_\epsilon |u^\pm|^{p-1}) |v^\pm| \, dX \\ &\leq \left[ \frac{2}{5} \text{dist}_{S_{1,0}^2(\Omega)}(u, \mp \mathcal{P}_m) + C \text{dist}_{S_{1,0}^2(\Omega)}(u, \mp \mathcal{P}_m)^{p-1} \right] \|v^\pm\|_{S_{1,0}^2(\Omega)}, \end{aligned}$$

that is,

$$\text{dist}_{S_{1,0}^2(\Omega)}(\text{Proj}_m K_{\overline{\Phi}}, \mp \mathcal{P}_m) \leq \frac{2}{5} \text{dist}_{S_{1,0}^2(\Omega)}(u, \mp \mathcal{P}_m) + C_3 \text{dist}_{S_{1,0}^2(\Omega)}(u, \mp \mathcal{P}_m)^{p-1}.$$

Therefore, there exists a  $\mu_m < \frac{d_m}{4}$  such that  $\text{dist}_{S_{1,0}^2(\Omega)}(\text{Proj}_m K_{\overline{\Phi}}, \mp \mathcal{P}_m) \leq \mu_m$  for every  $u \in \mp \mathcal{D}_0(m, \mu_m)$ . The conclusion follows.  $\square$

**Lemma 3.4.** *Assume conditions (A1)-(A3) and (A5) hold. Then there exists a locally Lipschitz continuous map  $B_0 : \widetilde{S_{1,0}^2(\Omega)} \rightarrow S_{1,0}^2(\Omega)$  such that*

$$B_0((\pm \mathcal{D}_0(m, \mu_m)) \cap S_{1,0}^2(\widetilde{\Omega})) \subset \pm \mathcal{D}_0(m, \mu_m)$$

and  $V_m(u) := i(u)u - B_0(u)$  is a pseudo-gradient vector field of  $\Phi_m$ , where  $\widetilde{S_{1,0}^2(\Omega)} := S_{1,0}^2(\Omega) \setminus \mathcal{K}$ ,  $\mathcal{K} := \{u \in S_{1,0}^2(\Omega) : \Phi'(u) = 0\}$ . Moreover, since  $\Phi_m$  and  $i$  are even functionals,  $B_0$  (and hence  $V_m$ ) can be choose to be odd.

*Proof.* By Lemma 3.3, the proof of Lemma 3.4 is the same as the proof of Lemma 2.1 in [30], so we omit it.  $\square$

**Lemma 3.5.** *Suppose that  $f$  satisfies (A1) and  $g$  satisfies (A5). Then*

$$\lim_{u \in \mathbf{Y}_{k+1}, \|u\|_{S_{1,0}^2(\Omega)} \rightarrow \infty} \overline{\Phi}(u) = -\infty, \quad \lim_{u \in \mathbf{Y}_{k+1}, \|u\|_{S_{1,0}^2(\Omega)} \rightarrow \infty} \Phi(u) = -\infty.$$

*Proof.* By the definition of  $\mathbf{Y}_{k+1}$ , (A1) and (A5), it is easy to verify that

$$\lim_{u \in \mathbf{Y}_{k+1}, \|u\|_{S_{1,0}^2(\Omega)} \rightarrow \infty} \frac{\int_{\Omega} F(x, y, u) \, dX}{\|u\|_{S_{1,0}^2(\Omega)}^2} = \infty, \quad \lim_{u \in \mathbf{Y}_{k+1}, \|u\|_{S_{1,0}^2(\Omega)} \rightarrow \infty} \frac{\int_{\Omega} G(x, y, u) \, dX}{\|u\|_{S_{1,0}^2(\Omega)}^2} \leq \infty.$$

Then the conclusions of this lemma follow immediately.  $\square$

**Lemma 3.6.** *Suppose that  $f$  satisfies (A1) - (A4) and  $g$  satisfies (A5). Then for each fixed  $m > 0$ , there exists a  $C_4 > 0$  such that*

$$\|u\|_{L^{\sigma+1}(\Omega)} \leq C_4 d^{\frac{1}{\mu}},$$

for all  $u \in \pm U_\delta \cap \{u \in \mathbf{Y}_m : \bar{\Phi}_m(u) \leq d\}$ , where  $C_4$  is independent of  $m, d > 0$  and

$$U_\delta := \left\{ u \in \mathbf{Y}_m : \left\| \bar{\Phi}'_m(u) - \Phi'_m(u) \right\|_{(S^2_{1,0}(\Omega))^*} > \frac{\left\| \bar{\Phi}'_m(u) \right\|_{(S^2_{1,0}(\Omega))^*}}{\delta} \right\}.$$

*Proof.* We consider two cases.

Case 1.  $u \in U_\delta \cap \{u \in \mathbf{Y}_m : \bar{\Phi}_m(u) \leq d\}$ . We have that

$$(3.6) \quad \frac{1}{2} \|u\|_{S^2_{1,0}(\Omega)}^2 - \int_{\Omega} F(x, y, u) dX - \int_{\Omega} G(x, y, u) dX \leq d.$$

$$(3.7) \quad \left\| \bar{\Phi}'_m(u) \right\|_{(S^2_{1,0}(\Omega))^*} < \delta \left\| \bar{\Phi}'_m(u) - \Phi'_m(u) \right\|_{(S^2_{1,0}(\Omega))^*}$$

and

$$(3.8) \quad \begin{aligned} \left| \langle \bar{\Phi}'_m(u), u \rangle \right| &= \left| \|u\|_{S^2_{1,0}(\Omega)}^2 - \int_{\Omega} f(x, y, u) u dX - \int_{\Omega} g(x, y, u) u dX \right| \\ &\leq \left\| \bar{\Phi}'_m(u) \right\|_{(S^2_{1,0}(\Omega))^*} \|u\|_{S^2_{1,0}(\Omega)} \leq \delta \left\| \bar{\Phi}'_m(u) - \Phi'_m(u) \right\|_{(S^2_{1,0}(\Omega))^*} \|u\|_{S^2_{1,0}(\Omega)}. \end{aligned}$$

From (A5) hence

$$\delta \left\| \bar{\Phi}'_m(u) - \Phi'_m(u) \right\|_{(S^2_{1,0}(\Omega))^*} \|u\|_{S^2_{1,0}(\Omega)} \leq C_5 \left( \|u\|_{L^2(\Omega)}^2 + \|u\|_{L^\mu(\Omega)}^\sigma \right),$$

we get by (3.8) that

$$(3.9) \quad -\|u\|_{S^2_{1,0}(\Omega)}^2 \leq - \int_{\Omega} f(x, y, u) u dX - \int_{\Omega} g(x, y, u) u dX + C_5 \left( \|u\|_{L^2(\Omega)}^2 + \|u\|_{L^\mu(\Omega)}^\sigma \right) \|u\|_{S^2_{1,0}(\Omega)}.$$

Choose  $\mu_0 \in (2, \mu)$ . By (3.6) and (3.9), we know that

$$\begin{aligned} \left( \frac{\mu_0}{2} - 1 \right) \|u\|_{S^2_{1,0}(\Omega)}^2 &\leq \int_{\Omega} [\mu_0 F(x, y, u) - f(x, y, u) u] dX + \int_{\Omega} [\mu_0 G(x, y, u) - g(x, y, u) u] dX \\ &\quad + C_5 \left( \|u\|_{L^2(\Omega)}^2 + \|u\|_{L^\mu(\Omega)}^\sigma \right) \|u\|_{S^2_{1,0}(\Omega)} + \mu_0 d. \end{aligned}$$

This and (A3), (A5) hence

$$\begin{aligned} \left( \frac{\mu_0}{2} - 1 \right) \|u\|_{S^2_{1,0}(\Omega)}^2 + C_6 \|u\|_{L^\mu(\Omega)}^\mu &\leq \left( \frac{\mu_0}{2} - 1 \right) \|u\|_{S^2_{1,0}(\Omega)}^2 + \\ \int_{\Omega} [f(x, y, u) u - \mu_0 F(x, y, u)] dX + C_7 &\leq \int_{\Omega} [\mu_0 G(x, y, u) - g(x, y, u) u] dX \\ + C_5 \left( \|u\|_{L^2(\Omega)}^2 + \|u\|_{L^\mu(\Omega)}^\sigma \right) \|u\|_{S^2_{1,0}(\Omega)} &+ \mu_0 d + C_7 \\ &\leq C_8 \|u\|_{L^2(\Omega)}^2 + C_8 \|u\|_{L^{\sigma+1}(\Omega)}^{\sigma+1} \\ + C_5 \left( \|u\|_{L^2(\Omega)}^2 + \|u\|_{L^\mu(\Omega)}^\sigma \right) \|u\|_{S^2_{1,0}(\Omega)} &+ \mu_0 d + C_7. \end{aligned}$$



By  $\mu > 2, \mu > \sigma + 1$ , applying Young's inequalities and Cauchy's inequalities, we have

$$\begin{aligned} C_8 \|u\|_{S_{1,0}^2(\Omega)}^2 + C_9 \|u\|_{L^\mu(\Omega)}^\mu &\leq C_5 \left( \|u\|_{L^2(\Omega)}^2 + \|u\|_{L^\mu(\Omega)}^\sigma \right) \|u\|_{S_{1,0}^2(\Omega)} + \mu_0 d + C_7 \\ &\leq C_\epsilon \|u\|_{L^2(\Omega)}^2 + \epsilon \|u\|_{S_{1,0}^2(\Omega)}^2 + C_{\epsilon'} \|u\|_{L^2(\Omega)}^{2\sigma} + \epsilon' \|u\|_{S_{1,0}^2(\Omega)}^2 + \mu_0 d + C_7, \end{aligned}$$

for all  $\epsilon, \epsilon' > 0$  small enough. By the fact that  $2\sigma < \mu$ , we can obtain

$$\|u\|_{L^{\sigma+1}(\Omega)} \leq C_{10} \|u\|_{L^\mu(\Omega)} \leq C_4 d^{\frac{1}{\mu}}.$$

Case 2.  $u \in -U_\delta \cap \{u \in \mathbf{Y}_m : \bar{\Phi}_m(u) \leq d\}$ , that is,

$$\left\| \bar{\Phi}'_m(-u) \right\|_{(S_{1,0}^2(\Omega))^*} < \delta \left\| \bar{\Phi}'_m(-u) - \Phi'_m(-u) \right\|_{(S_{1,0}^2(\Omega))^*} \text{ and } \bar{\Phi}_m(u) \leq d.$$

Then

$$\bar{\Phi}_m(-u) = \bar{\Phi}_m(u) + \int_{\Omega} [G(x, y, u) - G(x, y, -u)] dX \leq d + C_{11} \|u\|_{L^2(\Omega)}^2 + C_{11} \|u\|_{L^{\sigma+1}(\Omega)}^{\sigma+1}$$

(3.10)

$$\frac{1}{2} \|u\|_{S_{1,0}^2(\Omega)}^2 - \int_{\Omega} F(x, y, -u) dX - \int_{\Omega} G(x, y, -u) dX \leq d + C_{11} \|u\|_{L^2(\Omega)}^2 + C_{11} \|u\|_{L^{\sigma+1}(\Omega)}^{\sigma+1}$$

$$\begin{aligned} (3.11) \quad \left| \langle \bar{\Phi}'_m(-u), -u \rangle \right| &= \left| \|u\|_{S_{1,0}^2(\Omega)}^2 + \int_{\Omega} f(x, y, -u) u dX + \int_{\Omega} g(x, y, -u) u dX \right| \\ &\leq \left\| \bar{\Phi}'_m(-u) \right\|_{(S_{1,0}^2(\Omega))^*} \|u\|_{S_{1,0}^2(\Omega)}^2 \leq \delta \left\| \bar{\Phi}'_m(-u) - \Phi'_m(-u) \right\|_{(S_{1,0}^2(\Omega))^*} \|u\|_{S_{1,0}^2(\Omega)}^2. \end{aligned}$$

Note that

$$\delta \left\| \bar{\Phi}'_m(-u) - \Phi'_m(-u) \right\|_{(S_{1,0}^2(\Omega))^*} \|u\|_{S_{1,0}^2(\Omega)}^2 \leq C_{12} \left( \|u\|_{L^2(\Omega)}^2 + \|u\|_{L^\mu(\Omega)}^\sigma \right).$$

Then we get

$$(3.12) \quad -\|u\|_{S_{1,0}^2(\Omega)}^2 \leq \int_{\Omega} f(x, y, -u) u dX + \int_{\Omega} g(x, y, -u) u dX + C_{12} \left( \|u\|_{L^2(\Omega)}^2 + \|u\|_{L^\mu(\Omega)}^\sigma \right) \|u\|_{S_{1,0}^2(\Omega)}.$$

Therefore, by (3.10)-(3.12), (A3) and (A5), we obtain

$$\begin{aligned} &\left( \frac{\mu_0}{2} - 1 \right) \|u\|_{S_{1,0}^2(\Omega)}^2 + C_6 \|u\|_{L^\mu(\Omega)}^\mu \leq \left( \frac{\mu_0}{2} - 1 \right) \|u\|_{S_{1,0}^2(\Omega)}^2 \\ &+ \int_{\Omega} [-f(x, y, -u) u - \mu_0 F(x, y, u)] dX + C_7 \leq \int_{\Omega} [\mu_0 G(x, y, -u) + g(x, y, -u) u] dX \\ &+ C_{12} \left( \|u\|_{L^2(\Omega)}^2 + \|u\|_{L^\mu(\Omega)}^\sigma \right) \|u\|_{S_{1,0}^2(\Omega)} + \mu_0 d + C_7 \leq C_8 \|u\|_{L^2(\Omega)}^2 + C_8 \|u\|_{L^{\sigma+1}(\Omega)}^{\sigma+1} \\ &+ C_{12} \left( \|u\|_{L^2(\Omega)}^2 + \|u\|_{L^\mu(\Omega)}^\sigma \right) \|u\|_{S_{1,0}^2(\Omega)} + \mu_0 d + C_7. \end{aligned}$$

This gives the desired result.  $\square$

**Lemma 3.7.** *Assume conditions (A1)-(A5) hold and assume that  $u_m \in \mathbf{Y}_m$  is sign-changing and satisfies*

$$\overline{\Phi}'_m(u_m) = 0, \quad \sup_{m \geq 1} |\overline{\Phi}_m(u_m)| < \infty.$$

*Then  $\{u_m\}$  has a convergent subsequence whose limit is a sign-changing critical point of  $\overline{\Phi}$ .*

*Proof.* From Lemma 3.1, we have  $\{u_m\}$  has a convergent subsequence in  $S_{1,0}^2(\Omega)$ . We just prove that the limit of the subsequence is also sign-changing. Let  $u_m^\pm := \max\{\pm u_m, 0\}$ . Then

$$\|u_m^\pm\|_{S_{1,0}^2(\Omega)}^2 = \int_{\Omega} [f(x, y, u_m^\pm)u_m^\pm + g(x, y, u_m^\pm)u_m^\pm] dX.$$

By (A1), (A2) and (A5), we have for any  $\epsilon > 0$ , there exists a  $C_\epsilon$  such that

$$f(x, y, \xi)\xi + g(x, y, \xi)\xi \leq \epsilon |\xi|^2 + C_\epsilon |\xi|^p, \quad \text{for all } \xi \in \mathbb{R} \text{ and a.e. } (x, y) \in \Omega.$$

It follows that

$$\|u_m^\pm\|_{S_{1,0}^2(\Omega)}^2 \leq \epsilon \|u\|_{S_{1,0}^2(\Omega)}^2 + C_\epsilon \|\xi\|_{L^p(\Omega)}^p.$$

Hence,  $\|u_m^\pm\|_{S_{1,0}^2(\Omega)}^2 \geq C_{13}$ , where  $C_{13}$  is a constant independent of  $m$ . This implies that the limit of the subsequence is also sign-changing.  $\square$

*Proof of Theorem 1.1.* Assume that there exists a  $C_{14} > 0$  such that  $\overline{\Phi}$  has no sign-changing critical point with critical value greater than  $C_{14}$ . Choose  $k_0 > 0$  such that  $\delta_k > C_{14}$  for all  $k > k_0$ , where  $\delta_k$  comes from Lemma 3.2. Let  $m > k + 2 > k_0 + 2$ . Then  $\mathbf{Y}_k \subset \mathbf{Y}_m$ . Let

$$N := \mathbf{Y}_k, \quad M(m) = \mathbf{Y}_{k-1}^\perp \cap \mathbf{Y}_m, \quad Q(\rho_k, m) := \{u \in M(m) : \|u\|_{S_{1,0}^2(\Omega)} = \rho_k\}.$$

Then by (3.3), we obtain

$$Q(\rho_k, m) \subset \mathcal{S}_m.$$

Define

$$N^* = N \oplus \text{span}\{u^*\} \quad u^* \in \mathbf{Y}_{k+1}, u^* \notin \mathbf{Y}_k; \quad N_+^* = \{u + tu^* : u \in N, t \geq 0\}.$$

Then  $N^* \cap \mathbf{Y}_{k+1} \neq \{0\}$ , and both  $N^*$  and  $N_+^*$  are independent of  $m$ . Clearly, by Lemma 3.5, we have

(i)  $\overline{\Phi}_m(0) = 0$ ;

(ii) there exists a  $R_1 > \rho_k$  such that  $\overline{\Phi}_m(u) \leq 0$  for all  $u \in N$  with  $\|u\|_{S_{1,0}^2(\Omega)} \geq R_1$ ;

(iii) there exists a  $R_2 \geq R_1 > 0$  such that  $\overline{\Phi}_m(u) \leq 0$  for all  $u \in N^*$  with  $\|u\|_{S_{1,0}^2(\Omega)} \geq R_2$ ;

Let

$$\begin{aligned} \Gamma_m &:= \{\phi \in C(\mathbf{Y}_m, \mathbf{Y}_m) : \phi \text{ is odd, } \phi(\mathcal{D}_m) \subset (\mathcal{D}_m); \\ &\quad \phi(u) = u \text{ if } \max\{\overline{\Phi}_m(u), \overline{\Phi}_m(-u)\} \leq 0\}. \end{aligned}$$

Define

$$\gamma_k^*(m) := \inf_{\phi \in \Gamma_m} \sup_{\phi(N_+^*) \cap \mathcal{S}_m} \bar{\Phi}_m, \quad \gamma_k^{**}(m) := \inf_{\phi \in \Gamma_m} \sup_{\phi(N) \cap \mathcal{S}_m} \bar{\Phi}_m > 0,$$

For any  $\phi \in \Gamma_m$ , by Lemma 1.44 in [28] (or see [3,32]), we have  $\phi(N \cap B_{R_1}) \cap Q(\rho_k, m) \neq \emptyset$ , and by Lemma 3.2 we can obtain

$$\sup_{\phi(N \cap B_{R_1}) \cap \mathcal{S}_m} \bar{\Phi}_m \leq \inf_{Q(\rho_k, m)} \bar{\Phi}_m \leq C_2 \lambda_k^{\frac{2(p_0-p)}{(p-2)(p_0-2)}} := \delta_k.$$

Therefore, we get

$$(3.13) \quad \gamma_k^{**}(m) \geq C_2 \lambda_k^{\frac{2(p_0-p)}{(p-2)(p_0-2)}} := \delta_k \rightarrow \infty, \text{ as } k \rightarrow \infty.$$

We consider two cases.

Case 1. For  $k \geq k_0$ , if there exists a sequence  $m_i \rightarrow \infty$  as  $i \rightarrow \infty$  such that

$$\gamma_k^*(m_i) > \gamma_k^{**}(m_i) \text{ for all } i > 1,$$

then by Proposition 2.3, there exists a sign-changing critical point  $u_{m_i}$  such that

$$\bar{\Phi}'_{m_i}(u_{m_i}) = 0 \text{ and } C_0 < \delta_k \leq \gamma_k^{**}(m_i) \leq \bar{\Phi}(u_{m_i}) \leq \sup_{N^*} \bar{\Phi} + 1$$

Here  $\sup_{N^*} \bar{\Phi}$  is a constant depending on  $k$  and independent of  $m_i$ . By Lemma 3.7,  $\{u_{m_i}\}$  has a convergent subsequence whose limit  $u$  is a sign-changing critical point of  $\bar{\Phi}$ , and  $\bar{\Phi}(u) \geq \delta_k > C_0$ . This contradicts the assumption.

Case 2. For all  $k \geq k_0$ , there exists a  $m_k$  such that

$$(3.14) \quad \gamma_k^*(m) = \gamma_k^{**}(m) \text{ for all } m > m_k.$$

Let  $K_{com}(m)$  denote the set of common critical points of  $\Phi_m$  and  $\bar{\Phi}_m$ . By (A5),  $K_{com}(m) = \{0\}$ . Define

$$V_\delta := \{u \in \mathbf{Y}_m : \|u\|_{S_{1,0}^2(\Omega)} \leq \delta\},$$

and let  $U_\delta$  be as in Lemma 3.6, which contains all non-common critical points of  $\Phi_m$  and  $\bar{\Phi}_m$ . By Lemma 3.5, there exists a  $R_1 > \rho_k$  such that  $\bar{\Phi}_m(u) \leq 0$  for all  $u \in N$  with  $\|u\|_{S_{1,0}^2(\Omega)} \geq R_1$ . Here  $R_1$  is independent of  $m$ . Combining the definition of  $\gamma_k^*(m)$  and (3.13), we find a  $\phi_0 \in \Gamma_m$  such that

$$(3.15) \quad \sup_{\phi_0(N_+^*) \cap \mathcal{S}_m} \bar{\Phi} = \sup_{\phi(N_+^* \cap B_{R_1}) \cap \mathcal{S}_m} \bar{\Phi} \leq \gamma_k^*(m) + \frac{1}{2}.$$

Let

$$U_\delta^*(m) := V_\delta \cup U_\delta \cup (-U_\delta).$$

Then  $U_\delta$  is a symmetric set and contains all critical points of  $\Phi_m$  and  $\bar{\Phi}_m$ . Define two non-negative continuous functions:

$$\zeta_1(u) = \begin{cases} 0, & \text{if } u \in U_{10}^*(m), \\ 1, & \text{if } u \notin U_{20}^*(m), \end{cases} \quad (\text{is even}), \quad \zeta_2(u) = \begin{cases} 0, & \text{if } u \leq 0, \\ 1, & \text{if } u \geq 1, \end{cases}$$

and a vector field

$$V_m^* := -\zeta_2(\max\{\bar{\Phi}_m(u), \bar{\Phi}_m(-u)\})\zeta_1(u)V_m(u),$$

where the pseudo gradient vector field  $V_m$  comes from Lemma 3.4 obtained for  $\Phi_m$ . Since  $V_m$  can be choose to be odd, then  $V_m^*$  is odd.

Let  $\Theta(t, u)$  denote the unique (odd in  $u$ ) solution of the Cauchy initial value problem:

$$\frac{d\Theta(t, u)}{dt} = V_m^*(\Theta(t, u)), \quad \Theta(0, u) = u \in \mathbf{Y}_m.$$

Then  $\Theta(t, u)$  is also a pseudo-gradient ow for  $\bar{\Phi}_m$  and

$$(3.16) \quad \frac{d\bar{\Phi}_m(\Theta(t, u))}{dt} \leq 0.$$

For any  $u \notin U_\delta^*(m)$ , we have  $u \notin \pm U_\delta$  and by Lemma 3.6, we obtain

$$\|\Phi'_m(u)\|_{(S_{1,0}^2(\Omega))^*} \leq \frac{\delta+1}{\delta} \|\bar{\Phi}'_m(u)\|_{(S_{1,0}^2(\Omega))^*}, \quad \|\bar{\Phi}'_m(u)\|_{(S_{1,0}^2(\Omega))^*} \leq \frac{\delta}{\delta-1} \|\Phi'_m(u)\|_{(S_{1,0}^2(\Omega))^*}.$$

Further, for all  $u \notin U_\delta^*(m)$ , we get

$$\begin{aligned} \langle \bar{\Phi}'_m(u), V_m(u) \rangle &= \langle \Phi'_m(u), V_m(u) \rangle - \langle \Phi'_m(u) - \bar{\Phi}'_m(u), V_m(u) \rangle \\ &\geq \frac{1}{2} \|\Phi'_m(u)\|_{(S_{1,0}^2(\Omega))^*}^2 - 2 \|\Phi'_m(u)\|_{(S_{1,0}^2(\Omega))^*} \|\Phi'_m(u) - V_m(u)\|_{(S_{1,0}^2(\Omega))^*}^2 \\ &\geq \frac{(\delta-1)^2 - 4(\delta+1)}{2\delta^2} \|\bar{\Phi}'_m(u)\|_{(S_{1,0}^2(\Omega))^*}^2, \end{aligned}$$

and

$$\|V_m(u)\|_{(S_{1,0}^2(\Omega))^*} \leq 2 \|\Phi'_m(u)\|_{(S_{1,0}^2(\Omega))^*}^2 \leq \frac{2(\delta+1)}{\delta} \|\bar{\Phi}'_m(u)\|_{(S_{1,0}^2(\Omega))^*}^2.$$

Moreover, since  $u \notin U_{20}^*(m)$  implies  $\zeta_1(u) = 1$ , we see that  $\bar{\Phi}_m(u) > 1$  implies

$$\zeta_2(\max\{\bar{\Phi}_m(u), \bar{\Phi}_m(-u)\}) = 1.$$

We obtain

$$\begin{aligned} &\frac{d\bar{\Phi}_m(\Theta(t, u))}{dt} \Big|_{t=0} = \langle \bar{\Phi}'_m(\Theta(t, u)), \frac{d\Theta}{dt} \rangle \Big|_{t=0} \\ &= \langle \bar{\Phi}'_m(\Theta(t, u)), V_m^*(\Theta(t, u)) \rangle \Big|_{t=0} \\ (3.17) \quad &= \langle \bar{\Phi}'_m(u), -\zeta_2(\max\{\bar{\Phi}_m(\Theta(t, u)), \bar{\Phi}_m(-\Theta(t, u))\})\zeta_1(\Theta(t, u))V_m(\Theta(t, u)) \rangle \Big|_{t=0} \\ &= \langle \bar{\Phi}'_m(u), -\zeta_2(\max\{\bar{\Phi}_m(\Theta(t, u)), \bar{\Phi}_m(-\Theta(t, u))\})\zeta_1(u)V_m(u) \rangle \\ &= -\langle \bar{\Phi}'_m(u), V_m(u) \rangle \leq -\frac{277}{800} \|\bar{\Phi}'_m(u)\|_{(S_{1,0}^2(\Omega))^*}^2. \end{aligned}$$

for all  $u \notin U_{20}^*(m)$  satisfying  $\bar{\Phi}_m(u) > 1$ .

We claim that  $\Theta(t, \phi_0(\cdot)) \in \Gamma_m$  for any  $t \geq 0$ . In fact,  $\Theta(t, \phi_0(u))$  is odd in  $u$  since  $\phi_0(u)$  and  $V_m^*$  are odd. Recall that  $\phi_0 \in \Gamma_m$ . Then,  $\phi_0(u) = u$  for  $u$  with  $\max\{\bar{\Phi}(u), \bar{\Phi}(-u)\} \leq 0$ . Hence,  $\Theta(t, \phi_0(u)) = \Theta(t, u)$  and  $V_m^*(u) = 0$  for  $u$  with  $\max\{\bar{\Phi}(u), \bar{\Phi}(-u)\} \leq 0$ . It follows that  $\Theta(t, u) = u$  and then  $\Theta(t, \phi_0(u)) = u$  for  $u$

with  $\max\{\bar{\Phi}(u), \bar{\Phi}(-u)\} \leq 0$ . Using Theorem 1 in [9] and Lemma 3.4 similar to the one of Theorem 2.1 in [30], we obtain

$$\Theta(t, \phi_0(\mathcal{D}_m)) \subset \Theta(t, \mathcal{D}_m) \subset \mathcal{D}_m, \quad \forall t \geq 0.$$

Therefore,  $\Theta(t, \phi_0(u)) \in \Gamma_m$  for any  $t \geq 0$ . For any  $t \geq 0$ , we can deduce the following estimates which lead to a contradiction. In fact, by the fact that  $\Theta(t, \phi_0(u)) \in \Gamma_m$  is odd,  $\Theta(t, \phi_0(N_+^*)) \cap \mathcal{S}_m \subset \{u \in \mathbf{Y}_m : \bar{\Phi}_m(u) \leq \gamma_k^{**}(m) + \frac{1}{2}\}$ ,  $V_{20}$  is bounded, (3.15) - (3.17) and Lemma 3.6, we have

$$\begin{aligned} \gamma_k^*(m) + \frac{1}{2} &= \gamma_k^{**}(m) + \frac{1}{2} \geq \sup_{\phi_0(N_+^*) \cap \mathcal{S}_m} \bar{\Phi} \geq \sup_{\Theta(t, \phi_0(N_+^*)) \cap \mathcal{S}_m} \bar{\Phi} \\ &\geq \sup_{\Theta(t, \phi_0(N_+^*)) \cap \mathcal{S}_m} \bar{\Phi} - \left( \sup_{\Theta(t, \phi_0(N_+^*)) \cap \mathcal{S}_m} \bar{\Phi} - \sup_{\Theta(t, \phi_0(N_+^*)) \cap \mathcal{S}_m} \bar{\Phi} \right) \\ &\geq \gamma_{k+1}^{**}(m) - \sup_{u \in \Theta(t, \phi_0(N_+^*)) \cap \mathcal{S}_m} (\bar{\Phi}(-u) - \bar{\Phi}(u)) \\ &\geq \gamma_{k+1}^{**}(m) - \sup_{u \in \Theta(t, \phi_0(N_+^*)) \cap \mathcal{S}_m \cap \{u \in \mathbf{Y}_m : \bar{\Phi}_m(u) \leq \gamma_k^{**}(m) + \frac{1}{2}\}} (\bar{\Phi}(-u) - \bar{\Phi}(u)) \\ &\geq \gamma_{k+1}^{**}(m) - \sup_{u \in \Theta(t, \phi_0(N_+^*)) \cap \mathcal{S}_m \cap \{u \in \mathbf{Y}_m : \bar{\Phi}_m(u) \leq \gamma_k^{**}(m) + \frac{1}{2}\}} |\bar{\Phi}(-u) - \bar{\Phi}(u)| \\ &\geq \gamma_{k+1}^{**}(m) - \sup_{u \in U_{20}^* \cap \{u \in \mathbf{Y}_m : \bar{\Phi}_m(u) \leq \gamma_k^{**}(m) + \frac{1}{2}\}} |\bar{\Phi}(-u) - \bar{\Phi}(u)| \\ &\geq \gamma_{k+1}^{**}(m) - \sup_{u \in (-U_{20} \cup U_{20}) \cap \{u \in \mathbf{Y}_m : \bar{\Phi}_m(u) \leq \gamma_k^{**}(m) + \frac{1}{2}\}} |\bar{\Phi}(-u) - \bar{\Phi}(u)| - C_{15} \\ &\geq \gamma_{k+1}^{**}(m) - \sup_{u \in (-U_{20} \cup U_{20}) \cap \{u \in \mathbf{Y}_m : \bar{\Phi}_m(u) \leq \gamma_k^{**}(m) + \frac{1}{2}\}} C_8 |u|_{L^{\sigma+1}(\Omega)}^{\sigma+1} - C_{15} \\ &\geq \gamma_{k+1}^{**}(m) - C_8 (\gamma_k^{**}(m))^{\frac{1+\sigma}{\mu}} - C_{15}. \end{aligned}$$

Therefore, we get the inequality

$$(3.18) \quad \gamma_{k+1}^{**}(m) \leq \gamma_k^{**}(m) \left( 1 + C_8 (\gamma_k^{**}(m))^{\frac{1+\sigma-\mu}{\mu}} \right),$$

for all  $k \geq k_0$ .

Then from the condition (1.3), we can take some  $p_0 \in (2, 2_\alpha^*)$  and  $q \in (\frac{2N_\alpha}{N_\alpha+2}, 2)$  such that

$$(3.19) \quad \frac{1 + \sigma - \mu}{\mu} \frac{2(p_0 - p)}{(p - 2)(p_0 - 2)} \left( \frac{2}{N_\alpha} - \frac{2 - q}{q} \right) < -1.$$

From Theorem 1.3 in [15] (or see [16]), we obtain

$$(3.20) \quad \lambda_k \geq C_{16} k^{\frac{2}{N_\alpha}}.$$

From (3.18)–(3.20), using iteration, we get that

$$\begin{aligned}
\gamma_{k_0+\ell}^{**}(m) &\leq \gamma_{k_0}^{**}(m) \prod_{k=k_0}^{k_0+\ell-1} \left(1 + C_8(\gamma_k^{**}(m))^{\frac{1+\sigma-\mu}{\mu}}\right) \\
&\leq \gamma_{k_0}^{**}(m) \exp\left(\sum_{k=k_0}^{k_0+\ell-1} \ln(1 + C_8(\gamma_k^{**}(m))^{\frac{1+\sigma-\mu}{\mu}})\right) \\
&\leq \gamma_{k_0}^{**}(m) \exp C_8 \left(\sum_{k=k_0}^{k_0+\ell-1} (\gamma_k^{**}(m))^{\frac{1+\sigma-\mu}{\mu}}\right) \\
&\leq \gamma_{k_0}^{**}(m) \exp C_8 \left(\sum_{k=k_0}^{k_0+\ell-1} k^{\frac{1+\sigma-\mu}{\mu} \frac{2(p_0-p)}{(p-2)(p_0-2)} \left(\frac{2}{N_\alpha} - \frac{2-q}{q}\right)}\right) < \infty,
\end{aligned}$$

for all  $\ell \in \mathbb{N}$ , which yields the desired contradiction. Thus,  $\bar{\Phi}$  possesses an unbounded sign-changing sequence of critical values.  $\square$

**Corollary 3.8.** *Assume that  $f$  satisfies the conditions (A1)–(A3) and there is a  $\sigma < \frac{\mu}{2}$  such that*

$$|f(x, y, u) - f(x, y, -u)| \leq C(1 + |u|^\sigma) \text{ for all } u \in \mathbb{R} \text{ and a.e. } (x, y) \in \Omega.$$

Then the problem

$$(3.21) \quad -G_\alpha u = f(x, y, u) \text{ in } \Omega, \quad u = 0 \text{ on } \partial\Omega,$$

has an infinite sequence of sign-changing solutions provided that (1.3) holds.

**Corollary 3.9.** *Assume that  $f$  satisfies the conditions (A1)–(A3), there exists a  $R > 0$  such that*

$$f(x, y, -u) = -f(x, y, u) \text{ for a.e. } (x, y) \in \Omega, |u| \geq R,$$

and

$$\frac{2p}{N_\alpha(p-2)} - 1 > \frac{\mu}{\mu-1}.$$

Then the problem (3.21) has an infinite sequence of sign-changing solutions in  $S_{1,0}^2(\Omega)$ .

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